Date NOTVery good!

DOCUMENT-IDENTIFIER: US 6138161 A

TITLE: Method and system for maintaining reserve command relationships in a fibre channel network

#### BSPR:

More specifically, the present invention provides a method and system for maintaining a unique reserve command relationship between an initiator and a target device in a Fibre Channel network across network address changes following a break in communication. The method includes the step of maintaining in the initiator a target triplet table comprising a unique target triplet of data for the target device if the initiator is in communication with

the target device. An initiator and a target device can be in communication if

they are either in a unique reserve command relationship or if they have I/O transmissions in progress. The target triplet of data comprises a target device network address, a target device node name, and a target device port name. The target device may represent a device such as a SCSI <u>router</u>, for example, the Crossroads Systems, Inc. Model 4100. The Fibre Channel network may be a Fibre Channel arbitrated loop or <u>switch</u> network or other network topology.

## CLPR:

9. The method of claim 8, wherein each of the plurality of initiators is in communication with at least one of the plurality of target devices.

#### CLPR:

10. The method of claim 8, wherein each of the <u>plurality of target devices is</u> in communication with at least one of the plurality of initiators.

## CLPR:

25. The system of claim 24, wherein each of the plurality of initiators is in communication with at least one of the plurality of target devices.

## CLPR:

26. The system of claim 24, wherein each of the plurality of target devices is

in communication with at least one of the plurality of initiators.

servers. This latter embodiment is useful when, for example, servers become disabled or off-line. . . the current invention in which resource rebalancing processes DETD are set forth. Resource rebalancing includes re-mapping of pathways between nodes, e.g. servers, and resources, e.g. volumes/file systems. Load rebalancing allows the network to reconfigure itself as components come on-line/off-line, as components fail,. . In the embodiment shown in FIG. 1C, memory resources 118A-B, DETD servers 104C-106C, and normal clients 100A are shown, Memory resource 118A includes configuration database 120A1-D1. The cluster configuration database includes a clustered node database, a. layout of the resource. Memory resource 118B includes a plurality of file systems 122B1-3 and associated directory and access tables. Server 104C includes processes 104PC, while server 106C includes processes 106PC. In the example shown, server 106C has twice the processing capability of server 104C. Clients 100A are connected via a network 108 to each of DETD servers 104C-106C. Each of servers 104C-106C is connected to both memory resources 118A-B, via private network 112. In operation at time t=0, server 104C alone is operational. Processes 104PC cause server 104C to accept and process requests for any of file systems 122A1-3, and 122B1-3 on memory resources 118A-B. At time t=0, server 104C is shown accessing file systems 122A2-3, via paths 90A, file system 122A1, via path 90B, and file systems 122B1-B3, via paths 90C. At time t=1, servers 106C and 104C are operational. When server 106C comes on-line, resident processes 106PC seize control of the configuration database 120A1-D1 by placing a lock in the lock portion 120-D1 of the database. While this lock is in place, any other server attempting to rebalance the resources will see that rebalancing is taking place by another server when it fails to obtain the lock. Server 106C thus becomes the temporary master of the resource rebalancing process. . . configuration database records for all volumes and active DETD nodes to rebalance the system. Rebalancing the system takes into account preferred resource-server affiliations, expected volume traffic, relative server processing capability, and group priority and domain matches, all of which are contained in configuration database 120A1-B1. Optimal re-mapping between the existing servers 104C-106C and the available memory resources 118A-B is accomplished by processes 106PC. These results are replicated to each server's copy of the dynamic RAM resident configuration database 120A2-B2. The results are published and received by processes 104PC, on server 104C, and the lock 120D1 is removed. Subsequent to the load rebalancing, server 106C takes on responsibility for handling, via path 92B, I/O requests for file systems 122B1-B3. Further administrative access to these file systems, via paths 90C, from server 104C ceases. An additional path 92A, between server 106C and file system 122A1, is initiated and the path 90B, between that same file system and server 104C, is terminated. Thus, after resource rebalancing, server 106C handles I/O requests for four out of the six file systems, namely 122A1, 122B1-B3, while server 104C handles only file systems 122A2-3. Several embodiments of the load rebalancing embodiment just discussed will be set forth in.

```
. . . and variations thereof can be practiced individually, or in
DETD
      combination, without departing from the teachings of this invention.
For
      example, client load rebalancing and distributed I/O can be
      combined. client load rebalancing and resource rebalancing can
      be combined. Distributed I/O and resource rebalancing can be combined.
    Client load rebalancing, distributed I/O, and resource
      rebalancing can be combined.
      FIG. 2A shows the software modules present on server 104 for
DETD
      enabling client load balancing, distributed I/O, and resource
      rebalancing embodiments of the current invention. FIG. 2A shows
     server 104 and memory resource 118. Server 104
      includes a logical I/O unit 130 and a physical I/O unit 132. The
logical
      I/O unit includes an internal. . . module 148, a command processing
      module 154, a disk reader module 150, a shared data metadata management
      module 152, a server configuration driver 156, a
     resource management module 158, a logical name driver
      module 160, and a metadata supplier module 162. The physical I/O unit
      132 includes.
       . . . 140, the command receipt module 142, the shared data lock
DETD
      management module 144, the configuration database replicator module
148,
      the resource management module 158, the
     server configuration driver 156, the shared data metadata
      management module 152, the metadata supplier module 162, the disk
      module 150, and I/O store and forward 166. The resource
    management module 158 is connected to the resource publisher 146
      and to the logical name driver module 160. The metadata supplier.
            . are received and queued up, either from internal I/O module
DETD
      140, from the private network 112 (from a data transfer server
      ), or from a normal or aware client on network 108. The I/O is
      thus tagged with the source type for future decision making.
       . . resources on this node. It is the module that actually
DETD
      interacts with the network in order for normal and aware clients
      to figure out which resources are available on this node. The resource
      publisher 146 interacts with the resource management
      module 158 and logical name driver module 160 to obtain the actual
      information that should be published in the network. . . .
         . . namespace, and provides a path for the logical name driver
DETD
```

pdated S 09/401,874

	L#	Hits	Search Text	DBs
1	L9	41686	5and 6	USPAT; US-PGPUB
2	L8	1	7 and 4	USPAT; US-PGPUB
3	L7	2	5 same 6	USPAT; US-PGPUB
4	L6	41168	(indicat\$4 or identify\$4) adj3 (fail\$4 or trouble\$ or problem\$ or error\$)	USPAT; US-PGPUB
5	L5	389	1 with 2	USPAT; US-PGPUB
6	L4	736343	router\$ or switch\$3 or bridge\$	USPAT; US-PGPUB
7	L3	102287	(plural\$4 or multipl\$4) adj2 (unit\$ or device\$ or system\$)	USPAT; US-PGPUB
8	L2	4216	target adj1 (device\$ or unit\$ or system\$)	USPAT; US-PGPUB
9	L12	19	10 and 4	USPAT; US-PGPUB
10	L11	0	10 and 4 and 6	USPAT; US-PGPUB
11	L10	23	5 same 3	USPAT; US-PGPUB
12	L1	602528	communicat\$4	USPAT; US-PGPUB

	Time Stamp
1	2001/07/18 16:26
2	2001/07/18 16:23
3	2001/07/18 16:26
4	2001/07/18 16:21
5	2001/07/18 16:19
6	2001/07/18 16:19
7	2001/07/18 16:19
8	2001/07/18 16:12
9	2001/07/18 16:27
10	2001/07/18 16:27
11	2001/07/18 16:27
12	2001/07/18 16:07

DOCUMENT-IDENTIFIER: US 5790775 A

TITLE: Host transparent storage controller failover/failback of SCSI targets and associated units

#### BSPR:

However, the direction taken by Idleman requires a multi-level storage controller implementation. Each controller in the dual-redundant pair includes

a two-level hierarchy of controllers. When the first level or host-interface controller of the first controller detects the failure of the second level or device interface controller of the second controller, it re-configures the

path such that the data is directed to the functioning second level controller of the second controller. In conjunction, a <u>switching</u> circuit re-configures the controller-device interconnections, thereby permitting the host to access the storage devices originally connected to the failed second level controller through the operating second level controller of the second controller. Thus, the presence of the first level controllers serves to isolate the host computer

from the failover operation, but this isolation is obtained at added controller cost and complexity.

## DEPR:

Returning to FIG. 1, physical storage media 32, which is comprised of SCSI I/O devices 34, is interconnected to each controller 14 on the device side SCSI bus

26. Each is identified by a SCSI bus address, physically implemented in the device by switches on the device or by suitable jumper connections programming default bus address information in the form of binary address for the device. The SCSI I/O devices 34 in the preferred embodiment shown in FIG. 1 are disk drives, but the principles of the present invention may be extended to systems utilizing other SCSI compatible peripherals and I/O devices.

## DEPR:

A preferred implementation for the storage controller 14 (from FIG. 1) is illustrated by the block diagram shown in FIG. 4. The storage controller 14 bridges the host side SCSI bus 16 via the SCSI host port 18 to one or more of the device side SCSI buses 26 attached to corresponding SCSI device ports 28. Referring to FIG. 4, the storage controller 14 further comprises a policy processor 42, which controls all but the low-level device and host port operations. Sharing a native bus 44 used by the policy processor are a nonvolatile memory 46 , diagnostics and control registers 48, a maintenance terminal port 50 and dual controller or communications port 52. nonvolatile memory 46 holds controller firmware 54 as well as parameter information 56 entered by the user and by the controller software. Typically, the portions of the nonvolatile memory storing these components are physically implemented in separate memory devices. Part of the firmware (i.e., boot diagnostics) executes from the nonvolatile memory, but the majority of the diagnostics, and all of the functional code and utilities are actually run by the policy processor from a shared memory 58. The shared memory 58 includes buffer memory and memory control support logic. The firmware is copied from the nonvolatile memory 46 to the shared memory 58 by the boot diagnostics each time the controller boots.

DEPR:

In the preferred implementation, the transparent failover process simulates a POWER FAIL situation. The failover action appears to the host CPU as a power failure, in which there is normally a complete controller system reinitialization. When it appears to the host CPU that the reinitialization is

complete (i.e., the failed controller's ID or IDs appear to be back "online"), the host CPU resumes communications with the target IDs of the failed controller 112. All the while, the surviving controller is still running with its own ID or IDs to the host CPU. In response to host CPU communications to an ID of the failed controller, the surviving controller sends the host CPU a check condition status 114. The check condition status indicates to the host CPU that a problem or exception condition has occurred. The host CPU then sends a response to check condition status by sending request/sense command requesting information 116. Sense data describing a power on and reset event is subsequently sent by the surviving controller 118. With reference to FIG. 7B, the host CPU now believes that the target ID lost power momentarily and is forced to go back through a SCSI initialization sequence 120. Once the initialization has completed, the host CPU is ready to re-issue any outstanding

SCSI commands to a given LUN or unit associated with the failed controller. First, however, the host CPU tests the readiness of the unit 122. If the unit is not ready, the host CPU needs to issue a start command to make the unit ready on the SCSI bus 124. The host CPU also wants to ensure that it is still communicating with the same target device that it was communicating with prior to the "power failure". At minimum, it will poll the target device for ID inquiry data describing that device 126. Finally, the host CPU reissues any outstanding commands issued to that target ID 128. The surviving controller is

now running with the IDs of both the surviving controller and the failed controller 130. Therefore, "normal" operations resume until such time as a failback operation occurs.

DOCUMENT-IDENTIFIER: US 6182182 B1

TITLE: Intelligent input/output target device communication and exception

handling

### DEPR:

In most circumstances, proper **communication** between the block storage OSM 202, the **target device** 204 and ultimately, with the desired peripheral device, will operate very lean on host processor overhead. However, in those cases where

error occurs, the exception OSM driver 206 will swiftly move in to determine what the error may have been, attempt to repair the error if possible, and then

provide the proper I.sub.2 O reply to the block storage OSM 202. In some cases, the I.sub.2 O exception reply provided by the exception OSM driver 206 will be a reply that <u>indicates completion with error</u> or retry occurred. In other cases, the reply will simply <u>indicate that an error</u> occurred and that the

error could not be remedied.

DOCUMENT-IDENTIFIER: US 6260093 B1

TITLE: Method and apparatus for arbitrating access to multiple buses in a data processing system

#### ABPL:

A method and apparatus in a data processing system for multiple bus arbitration, wherein the data processing system includes a first bus connected to a second bus by a **bridge**. In response to receiving a request for a target device from a master device connected to a first bus, a determination is made as to whether the target device is connected to the first bus. The **bridge** is selected in response to determining that the target device is located on the second bus. The **bridge** initiates a request for the second bus in response to the selection of the **bridge**. The first bus and the second bus are connected to

each other by the <u>bridge</u> in response to the <u>bridge</u> receiving a grant to the second bus, wherein the master device transfers data between the master device and the target device across the <u>bridge</u>. In response to the <u>bridge</u> being selected from a master device on both the first bus and the second bus, the <u>bridge</u> signals one master device to retract or withdraw the selection of the <u>bridge</u>, allowing the other master device to complete a data transfer.

#### BSPR:

In data processing systems containing multiple buses and <u>multiple master</u> <u>devices</u>, in which the master devices <u>communicate</u> with devices on other buses,

system of arbitration on multiple buses is required for high performance and reliability of avoiding deadlock situations in which master devices on different buses make requests for <u>target devices</u> or resources on opposite sides

of the buses. Presently available arbitration systems include a complex hierarchical arbitration system that determines all possible deadlock situations up front in designing the system. In such an arbitration system, all of the deadlock situations are designed into a top level arbiter. This top

level arbiter, directed lower level arbiters on the bus level to avoid deadlock. The drawback of such an arbitration system is that is a potential deadlock condition was missed, the chip could lock up. Therefore, an improved method and apparatus for bus arbitration that avoids deadlock situations for multiple bus data processing systems is desirable.

## BSPR:

The present invention provides a method and apparatus in a data processing system for multiple bus arbitration, wherein the data processing system includes a first bus connected to a second bus by a <u>bridge</u>. In response to receiving a request for a target device from a master device connected to a first bus, a determination is made as to whether the target device is connected

to the first bus. The  $\underline{\text{bridge}}$  is selected in response to determining that the target device is located on the second bus. The  $\underline{\text{bridge}}$  initiates a request for

the second bus in response to the selection of the **bridge**. The first bus and the second bus are connected to each other by the **bridge** in response to the **bridge** receiving a grant to the second bus, wherein the master device transfers

data between the master device and the target device across the bridge. In

response to the  $\underline{\text{bridge}}$  being selected from a master device on both the first bus and the second bus, the  $\underline{\text{bridge}}$  signals one master device to retract or withdraw the selection of the  $\underline{\text{bridge}}$ , allowing the other master device to complete a data transfer.

#### DRPR:

FIG. 4 is a flowchart of a process employed by a <u>bridge</u> during arbitration for access to a bus in accordance with a preferred embodiment of the present invention; and

### DEPR:

With reference now to the figures, and in particular with reference to FIG. 1, a block diagram of a data processing system 100 in which the present invention may be implemented is illustrated. In particular, the present invention implements within data processing system 100 a single level arbiter and <a href="mailto:bridge">bridge</a> system for arbiting requests across buses. Data processing system 100 employs an advanced system bus (ASB), which is part of the Advanced Microcontroller Bus

Architecture (AMBA) from Advanced RISC Machines, Ltd.(ARM). The bus is described in the AMBA specification, which is available from ARM located in Cambridge, England. Although the depicted example employs an ASB of the AMBA specification, other bus architectures used for system on a chip buses may be used as well as other bus architectures such as peripheral component interconnect (PCI) local bus, Micro Channel, and ISA may be used. In the depicted example, data processing system 100 includes bus 102, bus 104, bus 106, and bus 108. Bus 102 and bus 104 are connected to each other through bridge 110, while bus 104 and bus 106 are connected to each other through bridge 112. Bus 108 is connected to bus 104 by bridge 114. Master device 116.

resource 118, arbiter 120, and decoder 122, are connected to bus 102. Arbiter 120 arbitrates access to bus 102, while decoder 122 decodes address placed onto

bus 102. Resource 124 and resource 126 are connected to bus 104. Additionally, arbiter 128 and decoder 130 are connected to bus 104. Arbiter 128 and decoder 130 provide abitration and decoding functions for bus 104. Master device 132, master device 134, and resource 136 are connected to bus 106. Arbiter 138 and decoder 140 are connected to bus 106 and provide arbitration and decoding functions for bus 106. Bus 108 has a master device 142 and a resource 144 connected to it. Arbiter 146 and decoder 148 provide arbitration and decoding functions for bus 108.

## DEPR:

Within data processing system 100, master devices located on each of the buses are able to concurrently access resources on their individual buses. When a master device, such as master device 116, wants to access a resource located

a different bus, such as resource 126 on bus 104, the transaction must cross a bridge, such as bridge 110. Decoder 122 selects bridge 110, which causes bridge 110 to arbitrate for bus 104. When an acknowledgement is received, the bridge, bridge 110, acts as a master device on a second bus, such as bus 104, and as a resource on the first bus, such as bus 102, in accordance with a preferred embodiment of the present invention. Thus, in addition to connecting

the buses to each other to move data,  $\underline{\text{bridge}}$  110 also acts like a master device

or target device on both buses in accordance with a preferred embodiment of the

present invention.

DEPR:

The address from master device 116 on bus 102 is passed on to decoder 130 on bus 104 through  $\underline{\text{bridge}}$  110 connecting two buses. Depending on whether both buses, bus 102 and bus 104, use the same address map,  $\underline{\text{bridge}}$  110 may or may not

perform address translation before passing the address to bus 104.

DEPR:

On bus 104, decoder 130 selects either a device or another <u>bridge</u> depending on the address placed on the bus by the master device. If the address is for a device on the second bus, bus 104, decoder 130 on bus 104 will select that device. If the address is for the device on the third bus, bus 106, decoder 130 would select <u>bridge</u> 112. If the target device were located on bus 108, decoder 130 would select <u>bridge</u> 114. Assuming that <u>bridge</u> 112 is selected, the

process repeats with the address being passed to a third bus decoder, decoder 140. In accordance with a preferred embodiment of the present invention, the arbiter on each bus treats a **bridge** request like any other request from a master device. The arbiter on a bus may use any appropriate type of arbitration for the bus. The type of arbitration on each bus may be independent from the other buses.

DEPR:

In accordance with a preferred embodiment of the present invention, each bridge

is able to recognize when it is selected by decoders on each side of the bridge

at the same time. When such a situation occurs, the <a href="mailto:bridge">bridge</a> issues a retry signal to one of the masters on one bus and processes the select from the master on the other bus. The master issued the retry signal removes its request from the bus. In this manner that bus is freed up so that the transaction on the other bus may be completed, thus, avoiding deadlock. Depending on the priorities of the devices in the data processing system, the <a href="mailto:bridge">bridge</a> may alternate which side is issued a retry signal or always have one side issued a retry signal.

DEPR:

With reference next to FIG. 2, a block diagram of data flow in a data transaction between a master on a first bus and a target device on a second bus

in a data processing system is depicted in accordance with a preferred embodiment of the present invention. Data processing system 200 includes bus one 202 connected to bus two 204 by <a href="block">bridge</a> 206. Master device one 208 sends a request for bus one 202 to arbiter one 210. A grant is sent back to master device one 208. In the depicted example, decoder one 212 decodes an address placed on bus one 202. Based on the address, decoder one 212 selects <a href="bridge">bridge</a> 206, which in turn requests bus two 204 from arbiter two 214. When a grant is received by <a href="bridge">bridge</a> 206 from arbiter two 214, <a href="bridge">bridge</a> 206 places the address on bus two 204. Decoder two 216 decodes the address and selects target two device

218. At this time, master device one 208 has access to target two device 218 to perform data transfer. A more detailed description of the process followed by these devices are described with reference to FIG. 3.

DEPR:

Turning next to FIG. 3, a flowchart illustrating transactions between a master on a bus that read/writes data to a target on a different bus is illustrated in

accordance with a preferred embodiment of the present invention. The process described in FIG. 3 is made with reference to the components illustrated in FIG. 2. The process begins with the master device requesting bus one (step 300). The master device sends a request to the arbiter on bus one. This arbiter can use any arbitration scheme, such as, for example, priority or round-robin. In this example, the master device only sends a request to the local bus, bus one. Next, arbiter one grants bus one to the master device (step 302). The grant of the bus by arbiter one to the master device is made with a grant signal. The master device then starts the transaction by placing the address of the destination or target device onto bus one (step 304). Decoder one on bus one decodes the address and selects the bridge (step 306). The decoder sees the address from the master device and recognizes that the target device is not on bus one. In accordance with a preferred embodiment of the present invention, decoder one selects the **bridge**. In the depicted example, the target is on bus two with the decoder selecting the bridge connecting bus one to bus two. If more than one bridge is connected to bus one, the decoder selects the correct bridge depending on where the target is located. The decoder selects the bridge based on the address placed onto the bus by the master device. In the depicted example, the target device is located on bus two. As a result, the bridge requests bus two from arbiter two (step 308). In other words, in step 308, the bridge sees the device selection from decoder one on bus one and generates a request to arbiter two on bus two. Arbiter two may use any type of arbitration scheme for its local bus, bus two. This arbitration scheme may be different from the one employed by arbiter one on bus one. For this transaction on the bus one side, the bridge acts as a target device on bus one, and for the transaction on the bus two side, the bridge acts as a master device on bus two.

## DEPR:

At the same time as the  $\underline{\text{bridge}}$  requests bus two, the  $\underline{\text{bridge}}$  also may perform

address translation (step 310). This step is an optional step and is employed if bus one and bus two use different address maps. Also, concurrently with steps 308 and 310, the <u>bridge</u> waits the master device (step 312). From the time the <u>bridge</u> receives the device select signal from the decoder on bus one until the time the <u>bridge</u> connects bus one and bus two signals together, the <u>bridge</u> will cause the master device to wait. The process then proceeds when bus two is granted to the <u>bridge</u> (step 314). This grant occurs by the arbiter sending a grant signal to the <u>bridge</u>. Next, the <u>bridge</u> drives the address (or the translated address) onto bus two (step 316). Decoder two on bus two recognizes that the target device is located on bus two and issues a device select to the target device (step 318). At that time the <u>bridge</u> connects address, data, and control signals of bus one and bus two (step 320). This step occurs once the target device has been selected. The <u>bridge</u> connects the address, data, and control signals of bus one and bus two together so that the two buses will behave as one bus. The master device is no longer waited by

bridge and can now directly read and write data to the target device.

## DEPR:

The master finishes the data transaction, removes the request for the bus, stops driving the address onto bus one, and performs other actions associated with the termination of the data transaction and the need for the bus. (step 322). In response, arbiter one removes its grant of the bus one to the master device by deasserting the grant signal to the master device (step 324) and decoder one, recognizing the end of the transaction, deselects the **bridge** by removing the device select to the **bridge** (step 326). In response to the

bridge

being deselected, the **bridge** removes its request for bus two and stops driving the address onto bus two and breaks all signal connection between bus one and bus two (step 328). As a result, arbiter two removes its grant of bus two to the **bridge** (step 330) and decoder two deselects the target device (step 332) with the process terminating thereafter.

#### DEPR:

In accordance with a preferred embodiment of the present invention, deadlock is

avoided by the bridge being able to recognize when it is selected by decoders
on each side of the bridge at the same time. When this situation occurs, a
bridge will issue a retry to one of the selected masters on the bus and
process

the selection from the decoder on the other bus. Under this mechanism, the master that is told to retry its request, removes its request from the bus.

this manner, the bus is freed up for the transaction on the other bus until

transaction is complete, avoiding a deadlock. Depending on the priorities of the devices located in the data processing system, the <u>bridge</u> may alternate which side is issued a retry or always have one side issue a retry. This mechanism is described in more detail in FIG. 4 below.

#### DEPR

Turning now to FIG. 4, a flowchart of a process employed by a **bridge** during arbitration for access to a bus is depicted in accordance with a preferred embodiment of the present invention. The process begins with the **bridge** in an idle state (step 400). In the idle state no transactions are crossing the **bridge**, and the two buses connected by the **bridge** are not connected to each other. A determination is made as to whether the **bridge** has been selected (step 402). This determination determines whether a decoder on one of the buses connected to the **bridge** has selected the **bridge**. If the **bridge** has not been selected, the **bridge** returns to the idle state in step 400. If the **bridge** 

has been selected, the <u>bridge</u> determines whether it has been selected only by

decoder on one bus also referred to as being selected from "one side" or by decoders from both buses, also referred to as being selected from "both sides" (step 404). If a select is detected from both sides, it means that master devices from both buses are attempting to cross the <a href="bridge">bridge</a> at the same time. In such a situation, the <a href="bridge">bridge</a> issues a retry signal to one side (step 406) with the process then returning to step 404. Basically, one of the master devices is to be stopped from crossing the <a href="bridge">bridge</a> and told to try its transaction at a later time. The <a href="bridge">bridge</a> signals the master device on one of the buses that the <a href="bridge">bridge</a> is busy and to retry at a later time. From the master's point of view, the <a href="bridge">bridge</a> is the target device that it has addressed and that the target device has just told the master device that the target device is busy. Depending on the bus structure and the particular implementation, the <a href="bridge">bridge</a> may be programmed to alternate which side is issued the retry signal or <a href="tolder">to always issue one side a retry signal</a>.

## DEPR:

If only one side has selected the  $\underline{\text{bridge}}$ , the  $\underline{\text{bridge}}$  initiates a bus request to

the target bus (step 408). In the depicted example, the bus containing the master device is the first bus or the "master bus" and the bus containing the

target device is the second bus or the "target bus". At the same time, an optional address translation may be performed (step 410). The <a href="bridge">bridge</a> performs this step if the two buses connected to the <a href="bridge">bridge</a> are using different address maps. The <a href="bridge">bridge</a> also waits the master at the same time (step 412). More specifically, the <a href="bridge">bridge</a> signals the master on the first bus to wait. The purpose is to cause the master device to remain on the first bus without advancing the data transaction (i.e., do not increment the address). The wait signal issued to the master device is in effect telling the master device that the target device is slow.

### DEPR:

From step 408, the bus monitors to determine whether a bus grant has been received (step 414). If a bus grant has been received, the address received from the master device is driven onto the target bus (step 418). If necessary,

this address may be a translated address generated from step 410. At this time

the  $\underline{\text{bridge}}$  is acting like a master device on the target bus. The  $\underline{\text{bridge}}$  is repeating the original master device's initiation of the transaction. This process is the reason that the  $\underline{\text{bridge}}$  in step 412 waits the original master device. After driving the address onto the target bus, the  $\underline{\text{bridge}}$  connects the

two buses, the bus containing the master device and the bus containing the target device (step 420). In step 420, the  $\underline{\text{bridge}}$  stops waiting the master device and makes the connection between the master and target buses. The  $\underline{\text{bridge}}$  provides a direct connection between the target device and the master device with no latency in the data transfer between the two devices.

#### DEPR:

A determination is made as to whether the device select has been removed from the **bridge** (step 422). If the select has not been removed, the process returns

to step 420. Otherwise, the <u>bridge</u> removes the request for the target bus and disconnects the master bus and the target bus from each other. The <u>bridge</u> then

returns to the idle state in step 400 to monitor for another device select.

## DEPR:

With reference again to step 414, if the <u>bridge</u> has not received a bus grant,

determination is made as to whether the <u>bridge</u> has received a device select from the target bus (step 426). If a device select has not been received, the process returns to step 414. Otherwise, the <u>bridge</u> issues a retry signal to one of the two master devices (step 428). Receiving a device select instead

a bus grant means that a master device from the target bus side has been grant the bus instead of the **bridge**. Such a select of the **bridge** also means that a master device on the second bus is trying to cross the **bridge** to initiate a data transfer. The **bridge** must decide which master device is to continue the data transfer. The **bridge** could determine that the original master device on bus one is to continue the transaction and issue the retry signal onto the second bus. Alternatively, the **bridge** may determine that the new requesting master device on bus two should continue the transaction and issue the retry signal onto the first bus.

## DEPR:

Next, a determination is made as to whether only a single device select remains

on the <u>bridge</u> (step 430). If two selects are still present, the process returns to step 428. Otherwise, a determination is made as to the master device selected for issuance of the retry signal was the original master device, the master device on the first bus (step 432). If the selected master for the retry signal is the original master device, the process removes the request from the second bus (step 434) and returns to steps 408, 410, and 412 as described above. In such a situation the first <u>bridge</u> sends a request to the first bus after removing its request from the second bus—the first bus becomes the "target bus" and the second bus becomes the "master bus". Otherwise, the process returns to step 414 as previously described.

#### DEPR:

The process followed by the  $\underline{\text{bridge}}$  in FIG. 4 can be applied to a situation in which the target does not exist on the second bus, but on a third bus connected

to the second bus by a second  $\underline{\text{bridge}}$ . In such a situation, the  $\underline{\text{bridge}}$  drives the address onto the second bus with the decoder on the second bus selecting the second  $\underline{\text{bridge}}$  connecting the second bus to a third bus on which the target device is located. The first  $\underline{\text{bridge}}$  does not know that the target is not on the second bus. This process can be extended to any number of buses to the bus

on which the target device is located.

## DEPR:

Turning now to FIG. 5, a flowchart of a process implemented in a decoder is depicted in accordance with a preferred embodiment of the present invention. The process begins by the decoder monitoring to determine whether an address is

valid on the bus (step 500). If an address is not present on the bus, the process returns to step 500. When an address is valid, the address is decoded (step 502). Each device in the data processing system is associated with an address or a range of addresses. A determination is made as to whether the decoded address is for a device located on the local bus (step 504). If the address is for a device on the local bus, the decoder then selects that device (step 506). Otherwise, the decoder selects a **bridge** associated with the decoded address (508). This **bridge** may be connected to a bus containing the device that is to be accessed or to a bus connected to a second **bridge** that is connected to a bus containing the target device. After selecting a device or

bridge, the decoder determines whether the address is still valid on the local bus (step 510). If the address is still valid, the decoder continues to select

the selected device (step 512). Otherwise, the decoder deselects the selected device (step 514).

## DEPR:

Thus, the present invention provides an improved method and apparatus for arbitrating access to devices on remote buses while avoiding deadlock situations occurring from master devices on two side of a <a href="mailto:bridge">bridge</a> simultaneously

trying to cross the <u>bridge</u> to initiate a data transaction. The arbitration system of the present invention allows for multiple buses to be connected together. These buses may operate independently or they may operate together or a mixture of both. The arbitration system of the present invention resolves

all possible deadlock situations. The advantage is provided by processes implemented within the  $\underline{\text{bridge}}$  that allows the  $\underline{\text{bridge}}$  to act like a master or a target device. The  $\underline{\text{bridge}}$  resolves all deadlock situations. As a result,

preplanning for deadlock conditions in the top level arbiter is not required. The deadlock is resolved by retracting one of the master devices so that only one master device selects a **bridge**. Additionally, decoders are designed to select a **bridge** when a decoded address on the local bus is for a device on a remote bus. When connecting a master device and a target device, no latency occurs in the data transaction after the **bridge** connects the buses together.

### DEPR:

In addition, the distributed arbitration scheme of the present invention allows  $\cdot$ 

for different types of arbitration to be used on each bus. Also, different address schemes may be used on each bus with the **bridge** providing address translations when necessary. Also, the present invention allows for any number

of target devices or master devices to be on a bus. A bus may contain all target devices or all master devices. Further, some devices may act as both target and master devices. Additionally, the present invention may support any

number of buses. Crossing of multiple  $\underline{\textbf{bridges}}$  from a master device to a target

device in a single transaction is supported by the present invention.

#### CLPR

1. A method in a data processing system for facilitating a data transfer between a master device and a target device, wherein the data processing system

includes a first bus connected to a second bus by a **bridge** the method comprising:

## CLPR:

13. The data processing system of claim 7, wherein the <u>bridge</u> connecting the first bus to the second bus is a first <u>bridge</u>, the master device connected to the first bus is a first master device, and the target device connected to the second bus is a first target device, the data processing system further comprising:

## CLPR:

14. The data processing system of claim 13, wherein the second master device is the first **bridge**.

## CLPR:

15. A bridge comprising:

## CLPR:

16. The **bridge** of claim 15, wherein latency in data transfer from between bus one and bus two is absent after the first bus and second bus are connected to each other.

## CLPR:

17. The <u>bridge</u> of claim 15 further comprising a fifth mode of operation, responsive to receiving a selection of the <u>bridge</u> from the second bus after requesting access to the second bus, in which the <u>bridge</u> issues a signal to deassert the selection from second bus.

## CLPR:

18. The <u>bridge</u> of claim 15 further comprising a fifth mode of operation, responsive to receiving a selection of the <u>bridge</u> from the second bus after requesting access to the second bus, in which the <u>bridge</u> issues a signal to

deassert the selection from first bus.

## CLPR:

19. The bridge of claim 15, wherein the first bus is an advanced system bus.

#### CLPR:

20. The <u>bridge</u> of claim 15, wherein the first bus is a peripheral component interconnect bus.

### CLPR:

23. The <u>bridge</u> of claim 21, wherein the first bus and the second bus are a peripheral component interconnect bus.

### CLPR:

25. A method in a data processing system for facilitating a data transfer between a master device and a target device, wherein the data processing system

includes a first bus connected to a second bus by a  $\underline{\text{bridge}}$  the method comprising:

### CLPV:

selecting the <u>bridge</u> in response to determining that the target device is located on the second bus;

#### CLPV:

initiating a bus request for the second bus by the  $\underline{\text{bridge}}$  in response to the selection of the bridge; and

#### CLPV:

connecting the first bus and the second bus in response to the  $\underline{\text{bridge}}$  receiving

a grant to the second bus, wherein the master device transfers data between the

master device and the target device.

## CLPV:

issuing a signal to deselect the  $\underline{bridge}$  in response to the  $\underline{bridge}$  receiving a select form the second bus.

## CLPV:

a bridge connecting the first bus to the second bus;

## CLPV:

selection means for selecting the  $\underline{\text{bridge}}$  in response to determining that the target device is located on the second bus;

# CLPV:

initiation means for initiating a bus request for the second bus by the  $\underline{\text{bridge}}$  in response to the selection of the  $\underline{\text{bridge}}$ ; and

## CLPV:

connection means for connecting the first bus and the second bus in response to

the <u>bridge</u> receiving a grant to the second bus, wherein the master device transfers data between the master device and the target device.

## CLPV:

signal means for issuing a signal to deselect the bridge in response to the

bridge receiving a select from the second bus.

### CLPV:

a second bridge, connecting the second bus to the third bus;

#### CLPV:

second selection means for selecting the second  $\underline{\text{bridge}}$  in response to determining that the target device is located on the third bus;

### CLPV:

second initiation means for initiating a request for the third bus by the second **bridge** in response to the selection of the second **bridge**; and

### CLPV:

second connection means for connecting the second bus and the third bus in response to the second <u>bridge</u> receiving a grant to the third bus, wherein the master device transfers data between the master device and the target device.

### CLPV:

wherein the bridge has a plurality of modes of operation including:

## CLPV:

a first mode of operation, responsive to a selection of the  $\underline{\text{bridge}}$  originating from the first bus, in which the  $\underline{\text{bridge}}$  determines whether a selection also has

occurred from the second bus;

### CLPV:

a second mode of operation, responsive to a selection of the <u>bridge</u> from both the first bus and the second bus, in which the <u>bridge</u> issues a signal to deassert the selection from the second bus;

## CLPV:

a third mode of operation, responsive to a selection of the  $\underline{bridge}$  only from the first bus, in which the  $\underline{bridge}$  issues a request for access to the second bus; and

## CLPV:

a fourth mode of operation, responsive to receiving a grant of the second bus, in which the **bridge** connects the first bus to the second bus.

## CLPV

a bridge connecting the first bus to the second bus;

## CLPV:

a decoder connected to the first bus, wherein the decoder receives an address for a target device from the master device, determines whether the target device is connected to the second bus in response to receiving the address for the target device, and selects the **bridge** in response to determining that the target device is located on the second bus,

## CLPV:

wherein the <u>bridge</u> initiates a request for the second bus in response to the selection of the <u>bridge</u>, and connects the first bus and the second bus in response to the <u>bridge</u> receiving a grant to the second bus, wherein the master device transfers data between the master device and the target device.

CLPV:

selecting the <u>bridge</u> in response to determining that the target device is located on the second bus;

#### CLPV:

determining if the **bridge** has been selected based on a second request from a device connected to the second bus at a same time as the first request; and

#### CLPV:

initiating a request for the second bus by the  $\underline{\text{bridge}}$  if the first request is selected for completion;

### CLPV:

connecting the first bus and the second bus in response to the  $\underline{\text{bridge}}$  receiving

a grant to the second bus, wherein the master device transfers data between the

master device and the target device; and

#### CLPV:

issuing a signal to the master device to deselect the  $\underline{\text{bridge}}$  if the second request is selected for completion.

### CLPV:

a bridge connecting the first bus to the second bus;

### CLPV:

first selection means for selecting the  $\underline{\text{bridge}}$  in response to determining that the target device is located on the second bus;

### CLPV:

second determination means for determining if the  $\frac{bridge}{to}$  has been selected based on a second request from a device connected to the second bus at a same time as the first request; and

# CLPV:

initiation means for initiating a request for the second bus by the  $\underline{\text{bridge}}$  if the first request is selected for completion;

## CLPV:

connection means for connecting the first bus and the second bus in response to

the <u>bridge</u> receiving a grant to the second bus, wherein the master device transfers data between the master device and the target device; and

# CLPV:

signal means for issuing a signal to the master device to deselect the  $\frac{bridge}{e}$  if the second request is selected for completion.

DOCUMENT-IDENTIFIER: US 6230216 B1

TITLE: Method for eliminating dual address cycles in a peripheral component interconnect environment

#### BSPR:

PCI initiator 110 can be integrated into bus <a href="mailto:bridge">bridge</a> 130 in turn is used to couple PCI bus 120 to a host bus (not shown).

Bus <a href="mailto:bridge">bridge</a> 130 is typically a bi-directional <a href="mailto:bridge">bridge</a> and is made up of numerous components; for simplicity, bus <a href="mailto:bridge">bridge</a> 130 is shown as comprising only PCI initiator 110.

### DEPR:

Refer now to FIG. 3, which shows an exemplary PCI bus system implemented in computer system 300 in accordance with a PCI-compliant embodiment of the present invention. The PCI bus system of computer system 300 includes PCI bus 320 coupled to PCI initiator 310. In the present embodiment, PCI initiator 310

is integrated into PCI/host <u>bridge</u> 330. PCI/host <u>bridge</u> 330 is a bi-directional PCI <u>bridge</u> (for simplicity, the elements of a bi-directional <u>bridge</u> other than PCI initiator 310 are not shown). PCI/host <u>bridge</u> 330 is used to couple PCI bus 320 to processor 340 via central processing unit (CPU) bus 345 and to main memory 350 via memory bus 355.

#### DEPR:

Continuing with reference to FIG. 3, in accordance with the PCI specification, when a computer system (e.g., computer system 300) is first powered on, configuration software stored in main memory (e.g., main memory 350) or in another memory location (not shown) is executed by the CPU (e.g., processor 340). The configuration software, generally referred to as the PCI bus enumerator, scans the PCI bus (e.g., PCI bus 320) to determine what PCI devices

exist on the bus and what configuration requirements those devices have. The configuration spaces (e.g., target configuration spaces 319a-d and initiator configuration space 311) and the configuration registers contained therein are thereby interrogated by processor 340. Processor 340 uses the information from

the configuration registers to configure the PCI bus system. Processor 340 communicates this information to PCI/host <u>bridge</u> 330 in order to instruct the bridge to perform configuration read and write transactions.

## DEPR:

Thus, continuing with reference to FIGS. 3 and 4, in accordance with the present embodiment of the present invention, processor 340 executes the configuration software (e.g., the PCI bus enumerator) to scan the PCI bus and access PCI target A 312. The configuration software interrogates configuration

register 440a of PC I target A 312 and reads that the device is a 64-bit target. The configuration software also interrogates other PCI targets on PCI bus 320 and determines their respective ranges. The configuration software provides this information to PCI/host **bridge** 330, which in the present embodiment registers this information in configuration register 440b of PCI initiator 310 as explained above.

## CLPR:

8. The computer system of claim 1 wherein said central processing unit is adapted to interrogate said first configuration register of each of said

plurality of target devices and to communicate said address range of each of said plurality of target devices to said initiator device.

#### CLPR:

13. The method of claim 9 wherein step b) comprises  $\frac{\textbf{communicating}}{\textbf{address}}$  said address

range of each of said <u>plurality of target devices</u> to a configuration register of said initiator device.

## CLPR:

15. The method of claim 9 wherein step b) comprises a central processing unit of said computer system interrogating said configuration register of each of said <u>plurality of target devices</u> and <u>communicating</u> said address range of each of said <u>plurality of target devices</u> to said configuration register of said initiator device.

### CLPR:

20. The method of claim 17 wherein a central processing unit is used to read said bit in said configuration register of each of said <u>plurality of target</u> devices and communicate a value of said bit to said initiator device.

### CLPV:

b) communicating said address range of each of said plurality of target
devices

to said initiator device; and

DOCUMENT-IDENTIFIER: US 5948094 A

TITLE: Method and apparatus for executing multiple transactions within a single

arbitration cycle

## DRPR:

FIG. 4 is a block diagram of a computer system including peripheral components and a secondary **bridge**.

#### DEPR:

FIG. 2a is a block diagram of a computer system including a host <u>bridge</u> 21 which couples processor 20 to Peripheral Component Interconnect (PCI) bus 26. Host <u>bridge</u> 21 contains timer 22 coupled to PCI arbiter 23. Also coupled to host <u>bridge</u> 21 is system memory 24 which contains a plurality of memory buffers

25. Video capture device 27 is coupled to PCI bus 26, as are other PCI agents 28. Video capture device 27 contains buffers Y, U, and V for storing data.

### DEPR:

Video capture device 27 competes with other PCI agents 28 coupled to PCI bus  $^{26}$ 

for ownership of the PCI bus. Each agent on PCI bus 26 is coupled to PCI arbiter 23 within host **bridge** 21. PCI arbiter 23 determines which PCI agent on

PCI bus 26 shall be granted ownership of the PCI bus during an arbitration event. Note that for purposes of this discussion, an arbitration event is one in which the arbiter considers requests from all possible agents, rather than some subset of agents, before granting ownership to the winning agent.

## DEPR

FIG. 4 is a block diagram of a computer system including a processor 40 coupled

to a primary PCI bus 45 through host <u>bridge</u> 41. System memory 42 is additionally coupled to host <u>bridge</u> 41 so that both processor 40 and agents coupled to PCI bus 45 can communicate with system memory 42. Bus agent A 43, bus agent B 44, and <u>bridge</u> 46 are coupled to primary PCI bus 45. Secondary bus

47 is coupled to primary bus 45 through  $\underline{\text{bridge}}$  46. Bus agents C, D, and E, 48,

are coupled to secondary bus 47. For the embodiment shown in FIG. 4, secondary

bus 47 is an Industry Standard Architecture (ISA) bus. However, for an alternate embodiment, secondary bus 47 may be an Extended ISA (EISA) bus or a PCI bus.

## DEPR:

For one embodiment, the bus agents 48 on secondary bus 47 each have information

to be communicated to bus agent A 43, or require information from bus agent A 43. Therefore, in this embodiment, bus agent A is the target device. However,

because of the significant time delay which could be incurred for each of bus agents 48 to independently access and communicate with bus agent A in real time, it is desirable to make use of write and/or read prefetch buffers within <a href="mailto:bridge">bridge</a> 46. In this manner, when the arbiter within host <a href="mailto:bridge">bridge</a> 41 grants a request to <a href="mailto:bridge">bridge</a> 46, the data within these buffers of <a href="mailto:bridge">bridge</a> 46 is

communicated to, or information is read from, bus agent A 43, over the course of multiple transactions within a single arbitration cycle.

#### DEPR:

From the point of view of primary bus 47, the data from bus agents 48, temporarily stored in memory buffer locations within **bridge** 46, is fragmented because it can only be dealt with by executing multiple transactions. During an arbitration event, the arbiter within host **bridge** 41 considers requests from

all possible agents, which are, as shown in FIG. 4, bus agents 43, 44, and <a href="mailto:bridge">bridge</a> 46. At the completion of one of the arbitration events initiated by the

arbiter, <u>bridge</u> 46 is eventually granted ownership of primary bus 45 for execution of a transaction with bus agent A 43. In accordance with one embodiment of the present invention, a timer coupled to the arbiter within host

 $\frac{\text{bridge}}{\text{execute}}$  41 is programmed to expire in enough time to permit  $\frac{\text{bridge}}{\text{decouple}}$  46 to

multiple transactions with bus agent A 43 before the arbiter initiates another arbitration event. In this manner, fragmented data associated with each of bus

agents 48 can be communicated to or from target bus agent A 43 by  $\underline{\text{bridge}}$  46 within the same arbitration cycle.

#### DEPR:

For an alternate embodiment, a  $\underline{\mathbf{bridge}}$  coupling a primary bus to a secondary bus

may require communication with the main memory of a computer system. Implementation of this embodiment is described in reference to the system of FIG. 4 wherein system memory 42 is the target. For one particular embodiment, like video capture device 27 of FIG. 2a, **bridge** 46 independently buffers fragmented data, such as, for example, data from multiple secondary bus agents,

into temporary memory buffers before downloading to system memory. During an arbitration event, the arbiter within host <a href="mailto:bridge">bridge</a> 41 considers requests from all possible agents. At the completion of one of the arbitration events initiated by the arbiter, <a href="mailto:bridge">bridge</a> 46 is eventually granted ownership of primary bus 45 for execution of a transaction with system memory 43. A timer coupled to the arbiter within host <a href="mailto:bridge">bridge</a> 41 is programmed to expire in enough time to permit <a href="mailto:bridge">bridge</a> 46 to execute <a href="mailto:multiple transactions with system">multiple transactions with system</a> memory 42 before the arbiter initiates another arbitration event. In this manner, fragmented data associated with each of bus agents 48 can be <a href="communicated to or from the target system">communicated to or from the target system</a> memory 42 by <a href="mailto:bridge">bridge</a> 46 within the same arbitration cycle.

## CLPR:

12. The arbitration method of claim 7, wherein the fragmented access bus agent

is a <u>bridge</u> coupling the first bus to a second bus, a second bus agent and a third bus agent being coupled to the second bus, the second bus agent communicating with a target device during the first transaction, and the third bus agent communicating with the target device during the second transaction.

# CLPR:

22. The computer system of claim 21, wherein the bus is a peripheral component

interconnect (PCI) bus and the arbiter and the timer are contained within a bridge coupling the PCI bus to a processor and system memory.

DOCUMENT-IDENTIFIER: US 5928325 A

TITLE: Method of dynamically establishing communication of incoming messages

ţο

one or more user devices presently available to an intended recipient

### BSPR:

Pat. Nos. 5,555,376 and 5,493,692 to Theimer et al. generally teach U.S. methods to deliver messages to mobile users, e.g., within an office building, on devices, e.g., computer terminals or printers, that the users are in proximity to. To determine where people are in relation to plural communication devices disposed throughout a given geographic location, the use of a separate location facility such as an RF tag badge network (or even GPS receivers) is proposed. When the target device is a display device, the communication system includes functionality, by way of a central agent, which determines which proximal display device provides sufficient privacy for a given transmission. The system determines the communication path from the agent to the user. The disclosed methods are merely an extended form of message call-forwarding to a target device capable of receiving the message in its original transmission. The Theimer patents make possible tracking, for example, an individual's location and forwarding a phone (voice) call message to a phone or mobile unit closest in proximity. The forwarding decision does not involve forwarding a message in one type format (e.g., a voice transmission

directed to a currently non-registered specified unit of an identifiable user) to another **communication** device which is currently active but which is not designed to recognize voice transmissions received in the format of the original **target unit**.

## DEPR:

The present invention can be more fully described with reference to FIGS.  $\boldsymbol{1}$  and

2. FIG. 1 illustrates a communication system 10 that includes a central agent (server) 15 coupled to a content transformer 20 and a rules memory device 25. In the illustrative embodiment, the central agent 15 is shown communicating with a plurality of communication networks 30-60. Network 50, as shown, is a conventional cellular phone network typically comprising a central controller <a href="mailto:switch">switch</a> 31, connecting the cellular phone network 50 to the central agent 15 which may be embodied with a communications computer, the <a href="mailto:switch">switch</a> 31 typically being a standard cellular Multi-Site Controller (MSC), a home location register

(HLR) database 32 coupled to the <u>switch</u> 31, the HLR typically comprises a computer, and a plurality of transmitter/receiver antennas 33 for communicating

to a cellular phone 34 subscribers, over a limited number of communication resources 35.

## DEPR:

Messages from the central agent 15 are communicated to the central controller <a href="mailto:switch">switch</a> 33 which queries a home location register (HLR) server 32 to retrieve the ID of the corresponding cellular phone 34, associated with the recipient identified by the central agent 15, and site location 33 of the cellular phone 34. The central controller <a href="mailto:switch">switch</a> 31 also responds to inquiries from the central agent 15, as to the <a href="mailto:availability">availability</a> status of any user devices (cellular phone 34) owned by a central-agent-identified recipient of an incoming message.

Within the cellular network 30, any phone 34 may initiate a communication to

another network (e.g., networks 40-60) (or to another phone on the same cellular network 30) by transmitting a request to the central controller switch

31, and can receive a communication message in a predetermined format type and upon registration with the network 30, by the assignment of a communication resource 35 by the central controller **switch** 31.

#### DEPR:

Communication network 40 is a conventional graphics computing devices network including a host controller <a href="mailto:switch">switch</a> 41, an HLR 42 and a plurality of receiver/transmitter antennas 43 for communicating digital image information, among other types of information (transmit messages) to associated portable graphics terminals 44 over assigned communication resources 45.

#### DEPR:

Referring to FIG. 1, cellular network 30 and graphics display network 40 are in

communication, via central agent 15, with network 50 which is a conventional wireline PSTN (public <u>switched</u> telephone network). PSTN 50 facilitates communication of voice and data transmissions, sourced from regular wireline telephones 55 or the like, to wireless network systems, such networks 30, 40.

#### DEPR:

The central agent 15 integrates the networks 30-60 by providing the functionality to make possible the exchange of messages between the network systems such that a prospective message recipient who for example carries or has available to him multiple user devices can receive a voice message originally intended for his mobile communication unit (e.g., phone 34) but because the unit is currently unavailable, as determined by an HLR inquiry (e.g. HLR 32), the central agent 15 will automatically transform the voice message into a data signal and communicate it instead to the host controller switch 41. The host controller switch 41 in turn takes the necessary action

alternatively transmit the message for display to the graphics terminal 44.

DOCUMENT-IDENTIFIER: US 5615207 A

TITLE: Side bus to dynamically off load main bus

#### ABPL:

A data communication system includes an express bus, a plurality of local buses, and a plurality of local/express bridges, each local/express bridge connecting a corresponding local bus to the express bus. A plurality of local/local bridges each connect two corresponding local buses. The plurality of local buses and the plurality of local/local bridges comprise a local path. Also provided is a method of communicating information from a sending communication device to a target communication device, comprising the steps of a) determining if the target communication device is on a local bus corresponding to the sending communication device, b) transferring the information from the sending communication device to the target communication device on the local bus corresponding to the sending communication device if the result of step a) is that the target communication device is on the local bus corresponding to the sending communication device, c) transferring the information from the sending communication device to an express bus if the result of step a) is that the target communication device is not on the local bus corresponding to the sending communication device, d) transferring the information from the express bus to a local bus corresponding to the target communication device, and e) transferring the information from the local bus corresponding to the target communication device to the target communication device.

### BSPR:

Alternatively, sending <u>communication</u> devices may overload a <u>target device</u> by sending too much data to the <u>target device</u>. This can occur, for example, when a given sending device is granted too much access to the data bus, and attempts

to send a large quantity of data to a single target device in a short period of

time. Another example of overloading a target device is when a **plurality of devices** are granted access to the bus in close time proximity, and all of these

devices are attempting to transfer data to the same target device.

## BSPR:

It is therefore an object of the invention to provide a data communication system comprising an express bus, a plurality of local buses, and a plurality of local/express <a href="mailto:bridges">bridges</a>, each connecting a corresponding local bus to the express bus.

# BSPR:

It is a further object of the invention to provide a plurality of local/local **bridges**, each connecting two corresponding local buses. The plurality of local

buses and the plurality of local/local bridges can comprise a local path.

## DEPR:

FIG. 1 shows a bus structure in accordance with the invention. Local buses 105, 107, 109 and 110 are interconnected by local/local <u>bridges</u> 111, 113 and 115. Express bus 117 is connected to local buses 105, 107, 109 and 110 by local/express <u>bridges</u> 119, 121, 123 and 125 respectively.

## DEPR:

To carry out the process of sending the information via express bus 117, the information travels from sending communication device 202 over local bus 105 to

local/express  $\underline{\text{bridge}}$  119. The information then travels over express bus 117 to

local/express <u>bridge</u> 123. The information then travels from local/express <u>bridge</u> 123 to local bus 109, where it passes to target communication device  $\frac{1}{210}$ .

### DEPR:

If the answer to step 505 is that the express bus is not free, then the information is transferred to another local bus, as shown in step 509. This transfer will be through a local/local <u>bridge</u> connecting the local <u>bridge</u> of the sending communication device to another local <u>bridge</u>. In the present example, this transfer will occur via local/local <u>bridge</u> 111 to local bus 107. At step 511, a determination is then made as to whether the target communication device is on the new local bus. If so, as shown in step 513, the

information is transferred to the target communication device via the new local

bus. In our example the target communication device is on local bus 109. Thus, the result of step 511 is that the target communication device is not on the new local bus and the system returns to step 505 to see if the express bus is free. Alternatively, as a result of a NO answer to the inquiry of step 511,

the system can automatically proceed to the express bus, causing the information to wait if the express bus is not free.

### DEPR:

As the system proceeds to step 505, the system can maintain a record as to which local buses have previously held the information. This information can be stored, for example, in a series of flags within a portion of the information to be transferred. As a result of a transfer, the transferring bus

or local/local bridge can then update this flag information.

## DEPR:

Thus, if the express bus is once again busy, a transfer will occur to a local bus which has not yet held the information. In our example, therefore, a subsequent local/local transfer will be via local/local **bridge** 113 to local bus

109, to which the target communication device is attached. As a result of the express bus being busy for two successive inquiries, therefore, the information

transfer occurs along a local path comprising local buses 105-109 and local/local **bridges** 111 and 113.

## DEPR:

As described in this example, the wait time is an absolute time period. Alternatively, the system can maintain wait time statistics, such that if an absolute answer is not available, the system can use an average wait time as the most likely wait time. For example, an external arbiter or processor connected to the local bus or functioning as part of the local/express <a href="maintain-this-data">bridge</a> attached thereto can maintain this data and update this data upon each information transfer. Further, in place of averaging the wait times, other statistical techniques may be employed to obtain a weighted wait time.

DEPR:

As an alternative to the "on ramp" situation described above, the local bus structure can be used in an "off ramp" situation. Here, information may be present on the express bus, but may be unable to exit the express bus onto the local bus corresponding to the target communication device. This situation can

present itself if the target communication device is overloaded, the local bus corresponding to the target communication device is overloaded, or the local/express <a href="mailto:bridge">bridge</a> leading to the local bus corresponding to the target communication device is overloaded or malfunctioning.

#### DEPR:

Using the aforementioned example, presume the information from device 202 was transferred to express bus 117 via local/express <u>bridge</u> 119. Thus, information

is on express bus 117 for which a target communication device is communication device 210. In an ideal situation, there would be a recognition that the target communication device is on local bus 109, and the information would thus

transfer via local/express  $\underline{\text{bridge}}$  123 to local bus 109 and then to communication device 210.

#### DEPR:

However, presume communication device 210 is busy, and would like the information from device 202 to be delayed. To accommodate the needs of communication device 210, the information can exit the express bus either via local/express <a href="mailto:bridge">bridge</a> 121 to local bus 107, or via local/express <a href="mailto:bridge">bridge</a> 125 to local bus 110. The information would then pass over a local/local <a href="mailto:bridge">bridge</a> before appearing on local bus 109. As a result, the information is delayed in accordance with the needs of communication device 210.

## DEPR:

Alternatively, presume local/express  $\underline{\text{bridge}}$  123 is overloaded or malfunctioning

such that an ideal information flow is not possible. Instead of waiting for local/express <u>bridge</u> 123 to be available, the information can take one of the paths described above to either local bus 107 or local bus 110. As a result, although the information is delayed, it still reaches target communication device 210.

## CLPR:

2. The data communication system of claim 1, wherein the plurality of local buses and the plurality of local/local <u>bridges</u> comprise a local path.

## CLPV:

a plurality of local/express  $\underline{\text{bridges}}$ , each connecting a corresponding local bus

to the express bus; and

# CLPV:

a plurality of local/local  $\underline{\text{bridges}}$ , each connecting two corresponding local buses.

DOCUMENT-IDENTIFIER: US 5555383 A

TITLE: Peripheral component interconnect bus system having latency and shadow timers

#### ABPL:

A PCI system is provided with a shadow register and a shadow timer. When a master device sends an address designating a target device that is connected to

another bus, the device's latency value is recorded in the shadow register. While the PCI-PCI <u>bridge</u> arbitrates for the target bus, the master's latency timer increments but the shadow timer will not begin to increment until the PCI-PCI <u>bridge</u> receives a grant# from the target's bus and data transmission begins. Accordingly, the bus arbiter will not de-assert the grant# until the shadow timer has reached the latency value or the master device has released the bus after completing its data transmission. This ensures that the master device will be allocated a time period equal to its latency value to transmit data.

#### BSPR:

One system which has been developed to enable efficient use of the system bus is the Peripheral Component Interconnect (PCI) architecture. In PCI systems, each device is provided with a latency timer and a predetermined latency value.

An exemplary PCI system is shown in FIG. 1. A more detailed explanation of a known PCI system can be found in, for example, PCI Local Bus Specification, Revision 2.0, Copyright 1992, 1993, PCI Special Interest Group, and in PCI to PCI Bridge Architecture Specification, Revision 1.0, 1994 (original issue), PCI

Special Interest Group, which are incorporated herein by reference.

## BSPR:

With reference to FIG. 1, CPU 10 is connected to cache 20 and host <u>bridge</u> 30. The host <u>bridge</u> 30 is connected to the system memory 40 and the system bus 50. Access to system bus 50 is controlled by bus arbiter 60, which may comprise an integral part of the system bus 50. System bus 50 is used to allow communication between various peripheral devices, and between the peripheral devices and the host <u>bridge</u>. For purpose of illustration, four peripheral devices 100, 200, 300, and 400, are shown in FIG. 1; however, those skilled in the art will understand that the number of devices can vary depending on the particular system arrangement.

# BSPR:

An exemplary PCI multiple <u>bridge</u> system is shown in FIG. 2, wherein elements similar to those shown in  $\overline{\text{FIG}}$ . 1 have the same reference numerals. For the purpose of this example, only four peripheral devices 100, 200, 300, and 400, and two busses 80, and 90, are shown.

## BSPR:

In FIG. 2, host <u>bridge</u> 30 is connected to primary bus 80 and secondary bus 90 through the PCI-PCI <u>bridge</u> 70. For the purpose of this example, peripheral devices 100 and 200 are shown to be connected to primary bus 80 and peripheral devices 300 and 400 are shown to be connected to secondary bus 90. It will be appreciated by those skilled in the art, however, that other arrangements are possible.

BSPR:

Mastership of primary bus 80 and secondary bus 90 is controlled by bus arbiters

82 and 92 respectively. The bus arbiters 82 and 92 are illustrated as two respective parts of PCI-PCI <u>bridge</u> 70; however, they can alternatively be implemented, for example, as a single element, or multiple elements constituting respective integral parts of the primary bus 80 and secondary bus 90, as will be apparent to those skilled in the art.

### BSPR:

In order for peripheral device 100 to transmit data to peripheral device 300, it first must arbitrate for primary bus 80. Accordingly, the I/O-DMA master 110 sends a request (asserts REQ#) to the bus arbiter 82. When the bus arbiter

82 sends the grant#, peripheral device 100 asserts frame# by sending the proper

command and the target's address on the respective bus lines (not shown). PCI-PCI <u>bridge</u> 70 recognizes that the target for the address is connected to secondary bus 90 and, accordingly, keeps the master device 100 in a wait state and arbitrates for the secondary bus 90.

#### BSPR:

If the latency value L.sub.1 is reached prior to PCI-PCI bus 70 receiving grant# from secondary bus 90, then the I/O-DMA master 110 would have only one cycle to transfer data before it would be required to release the primary bus 80. As a result, peripheral device 100 would be able to transfer data during only one cycle instead of the number of cycles defined by its latency value L.sub.1. Accordingly, if this situation occurs, only a small part of the data from device 100 would be transferred to the target device 300, i.e. only data corresponding to one cycle. In addition, primary bus 80 and PCI-PCI bridge 70 would be wastefully controlled by peripheral device 100 during the time

<u>bridge</u> 70 arbitrates for secondary bus 90. Since data was transmitted only during one cycle, the wasted period is commensurable with the latency value L.sub.1.

## BSPR:

Alternatively, PCI-PCI <u>bridge</u> 70 may receive a grant# from secondary bus 90 before latency timer 120 reaches the latency value L.sub.1 but the remaining time may be insufficient to complete transmission of all the data. Therefore, peripheral devices 100 would transfer data over a period shorter than the number of cycle defined by its latency value L.sub.1. Accordingly, part of the

latency value L.sub.1 period would be wastefully allocated to establishing the connection to the target device rather than to data transfer.

## BSPR:

Moreover, when a master device that has been allocated the maximum permissible latency is initiating a transaction over the PCI-PCI <u>bridge</u>, part of the latency value is expended on arbitrating for the target's bus. If during the arbitration for the target's bus the latency timer expires, then only one cycle

of the maximum permissible latency period would be dedicated to data transmission. Accordingly, in a system where devices are allocated the

permissible latency period, each incomplete transaction over the PCI-PCI

70, e.g., when only one data cycle has been used for data transfer, will result

in longer wasteful periods.

#### RSPR:

According to the present invention, a PCI system is provided with a shadow register and a shadow timer. When a master device sends an address designating

a target device that is connected to another bus, the device's latency value is

recorded in the shadow register. The PCI-PCI <u>bridge</u> would then arbitrate for the target bus. During this arbitration period, the latency timer of the master device is incrementing, but the shadow timer will not begin to increment

until the PCI-PCI <u>bridge</u> received a grant# and data transmission began. Accordingly, in the system of the present invention, the bus arbiter will not de-assert the grant# until the shadow timer has reached the latency value or the master device released the bus after completing its data transmission. This ensures that the device will be allocated a time period equal to its latency value to transmit data. That is, even if the device's latency timer reaches the latency value, it will not be required to release the bus since the

bus arbiter will not de-assert the grant# before the shadow timer reaches the latency value.

#### DEPR:

A PCI architecture according to an embodiment of the present invention is shown

in FIG. 3, in which elements similar to those of FIG. 2 are designated by similar reference numerals. In FIG. 3, PCI-PCI <u>bridge</u> 70 is provided with bus arbiters 82 and 92, shadow register 84, and shadow timer 86. However, it should be appreciated that other arrangements are possible. For example, the number of bus arbiters may vary. In addition, for simplicity only one shadow timer is shown, however, it is preferable to set the number of shadow timers with respective shadow registers to correspond to the number of peripheral devices.

## DEPR

A particular advantage over the system shown in FIG. 2 is exemplified in the cases where communication is transacted between peripheral devices connected to

different system busses. I.e., a two level arbitration. For the purpose of example, the case will be described where peripheral device 100 wishes to transmit data to peripheral device 300. As in the system of FIG. 2, peripheral

device 100 first arbitrates for primary bus 80. When bus arbiter 82 sends the grant#, peripheral device 100 asserts frame#, sending the proper command and address on the respective bus lines (not shown), and latency timer 120 begins to increment. PCI-PCI bridge 70 recognizes that the target for the address is connected to secondary bus 90 and, accordingly, records the latency value L.sub.1 of peripheral device 100 in shadow register 84, keeps the master device

100 on a wait state, and arbitrates for the secondary bus 90.

## DEPR

In the device of FIG. 3, bus arbiter 82 may de-assert the grant# only if peripheral device 100 has completed data transmission and released the primary bus 80, or after the shadow timer 86 has reached the latency value L.sub.1. The shadow timer 86, however, does not begin to increment until the PCI-PCI bridge 70 receives grant# from bus arbiter 92 and device 100 begins data transmission. Therefore, during the period when PCI-PCI bridge 70 arbitrates for secondary bus 90, the bus arbiter 82 will not de-assert the grant# (i.e.,

device 100 will not release the primary bus 80 because it has not begun, let alone completed, data transfer, and bus arbiter 82 will not de-assert the grant# because shadow timer has not begun counting, let alone reached the latency value L.sub.1).

#### DEPR:

When the PCI-PCI <u>bridge</u> 70 receives the grant# from bus arbiter 92, device 100 may begin transmitting the data. Consequently, from this point on, every cycle

counted by shadow timer 86 would be a data transfer cycle rather than an idle cycle. Moreover, since shadow timer 86 expires only after it reaches the latency value L.sub.1, which is stored in register 84, peripheral device 100 can efficiently use its latency value L.sub.1 period for data transfer purposes.

#### DEPR:

One can anticipate that, during the period when device 100 is communicating with device 300, another peripheral device connected to secondary bus 90, for example peripheral device 400, may arbitrate for secondary bus 90. However, if

device 100 has not completed its data transfer and the shadow timer 86 has not expired, device 100 will not release the primary bus 80 and, consequently, PCI-PCI bridge 70 will not release the secondary bus 90. Therefore, under such

conditions, device 400 may not gain access to secondary bus 90.

#### DEPR:

On the other hand, if device 400 was required to re-arbitrate after secondary bus 90 has been released, it would have caused a wasteful idle time of secondary bus 90, while arbiter 92 decides which device has priority to receive

grant#. In order to substantially eliminate this idle period, in the preferred

embodiment, bus arbiter 92 is permitted to de-assert the grant# from PCI-PCI bridge 70 and shift it to another requesting device, such as peripheral device 400. As explained above, PCI-PCI bridge 70 will not release the secondary bus 90 until peripheral device 100 has released the primary bus 80. However, since

peripheral device 400 has grant#, it may assert frame# as soon as PCI-PCI bridge 70 releases the secondary bus 90. That is, by completing the arbitration during the time device 100 transmits data, a master device may assert frame# as soon as secondary bus 90 is released.

## DEPR:

In the preferred embodiment, elements such as the shadow registers and the shadow timers, are incorporated into the PCI-PCI <u>bridge</u> chip. However, as stated above, other arrangements are possible. For example, the shadow registers and timers may be incorporated in each of the respective system busses. Such an example is shown in FIG. 4, in which elements similar to those

of FIG. 3 are designated by similar reference numerals.

## DEPR:

As mentioned above, the number of shadow timers may alternatively correspond to

the number of peripheral devices. In such a case, the shadow timers may be located in the PCI-PCI <u>bridge</u>, in a respective bus to which the respective device is connected, or in each of the respective peripheral devices. However,

it is preferable that the shadow timers be located in the PCI-PCI bridge 70.

## CLPR:

4. The computer system as defined in claim 1, wherein said  $\underline{\textbf{bridge}}$  unit further

includes a first bus arbiter circuit for controlling access to said first bus, and a second bus arbiter circuit for controlling access to said second bus.

### CLPR:

5. The computer system as defined in claim 1, wherein said  $\underline{\mathbf{bridge}}$  unit further

includes a register for receiving a latency time value from one of said plurality of first and second peripheral units.

#### CLPR:

7. The computer system as defined in claim 6, wherein said single shadow timer

is disposed in said bridge unit.

### CLPR:

12. In a computer system having a host <a href="bridge">bridge</a> connected to a plurality of system busses, each of the system busses connected to at least one of a plurality of peripheral devices, each of the peripheral devices having a respective latency timer and a respectively assigned latency value, said plurality of system busses connected to a bus <a href="bridge">bridge</a> for permitting communication among said peripheral devices and between any one of said peripheral devices and the host <a href="bridge">bridge</a>, a method of controlling <a href="communication">communication</a> initiated by one of said peripheral devices connected to a first bus of said system busses and defined as a master device, and one of said peripheral devices connected to a second bus of said system busses and defined as a target

device, comprising the steps of:

# CLPR:

15. A bus <u>bridge</u> for providing connection between a first data bus and a second data bus, said bus <u>bridge</u> having a shadow timer which begins to increment upon establishment of the connection between said first and second data busses, wherein said bus <u>bridge</u> terminates the connection when said shadow

timer reaches a programmed value.

## CLPR:

16. The bus <u>bridge</u> of claim 15, further comprising a shadow register for storing said programmed value.

## CLPR:

17. The bus <u>bridge</u> of claim 16, further comprising a first peripheral device connected to said first data bus and a bus arbiter responsive to a communication from said first peripheral device to arbitrate for said second data bus, thereby establishing said connection.

# CLPR:

19. The computer system as defined in claim 18, wherein said programmed value corresponds to said respective latency value of one of said peripheral devices sending data over said bus **bridge**.

## CLPV:

a bridge unit coupled to said central processing unit;

CLPV:

first and second buses, each of which is coupled to said bridge unit;

CLPV:

d. sending an address of said target device to said bus bridge;

CLPV:

e. sending a secondary grant from said second bus to said bus bridge;

CLPV:

a bus <u>bridge</u> for providing connection between said first data bus and said second data bus, said bus <u>bridge</u> having a shadow timer which begins to increment upon establishment of the connection between said first and second data buses, wherein said bus <u>bridge</u> terminates the connection when said shadow timer reaches a programmed value.

DOCUMENT-IDENTIFIER: US 5528391 A

TITLE: Infrared beam steering system using diffused infrared light and liquid crystal apertures

#### ABPL:

A system for scanning a room to find the location of <u>target devices</u>, and then locking onto them with stationary directed beams for two-way <u>communication</u>.

In

one embodiment, a base system in each room comprises an IR source/receiver combination plus an LCD display panel which covers the source/receiver and is addressed in such a way as to open up dynamic apertures through which IR radiation in a scanning mode can be directed toward any particular location in the room. When a device at that location senses that it is being irradiated by

the base station, the targeted device responds by emitting a coded packet of TR

pulses. This system takes advantage of the higher bandwidth communication that

can be obtained with point-to-point communications, while still allowing for multiple devices at arbitrary locations in the same room.

#### BSPR:

One important application of the invention is a system for scanning a room to find the location of <u>target devices</u>, and then locking onto them with stationary

directed beams for two-way **communication**. A base system in each room comprises

an IR source/receiver combination plus an LCD panel which covers the source/receiver and is addressed in such a way as to open up dynamic apertures through which IR radiation in a scanning mode can be directed toward any particular location in the room. When a device at that location senses that it

is being irradiated by the base station, the targeted device responds by emitting a coded packet of IR pulses. This system takes advantage of the higher bandwidth communication that can be obtained with point-to-point communications, while still allowing for <u>multiple devices</u> at arbitrary locations in the same room.

## DEPR:

The system components described are all off-the-shelf components readily available from many suppliers. The addressable LCD display panel 20 can be a conventional active matrix panel with the usual electrical x-y addressing that allows under the control of appropriate signals from the computer 23 a selected

cluster of LCD pixels in the shape of a circle to be switched from their normal

non-transmissive or opaque state to their transmissive state when approximately

3-10% of incident radiation from the source 18 will pass through the aperture 25 in a narrow beam 26 confined by the opaque boundaries of the aperture 25. For a normal size storeroom, meeting room, or office space, sufficient IR power

exists in the IR rays that can see a particular target device to enable the establishment of the high bandwidth communication link with the device.

# DEPR:

The IR receivers both at the device or target end 14-16, and 19 at the source end could have, for example, a high gain phototransistor as the IR detector, and suitable amplifiers to produce a signal to activate an IR source on the device. An example of one simple way to implement the invention is to incorporate an inexpensive 4-bit microcontroller held in reset condition by a signal from a battery source, with the internal amplifiers operating a <a href="mailto:switch">switch</a> to release the reset condition to cause the microcontroller to execute a simple

built-in program that sends a sequence of signals to an IR source on the device

to flash it in a predetermined code of long and short flashes equivalent to a UPC bar code. Each device would be programmed with its own unique code pattern. The host computer 23 could easily store in its memory a database comprising the codes for each device and its current location, obtained by periodically activating the system. A simple comparison test of received codes

to those stored in the database would allow periodic updating of the database. The above is straightforward programming well within the skills of the average programmer.

#### DEPR:

FIG. 3 illustrates, in enlarged form, a block diagram of one form of a target device for use in the system of the invention. The device 40 comprises an IR source 41 and IR detector 42 whose output is amplified 43 to operate a <a href="mailto:switch">switch</a>
44 which via power from a supply 45 normally holds a microcontroller 46 in reset. When the <a href="mailto:switch">switch</a> is activated, reset is released and the programmed microcontroller 46 generates a sequence of digital signals which amplified 47 can flash the emitter 41 with a built-in code. The microcontroller 46 can then

connect 48 with the amplifier to process any received communication signals and

be provided with a standard set of responses to be delivered via the emitter 41.

DOCUMENT-IDENTIFIER: US 5239632 A

TITLE: Device to translate logical unit number communications on one SCSI bus to ID communications on a subordinate SCSI bus

#### BSPR:

As shown, controller 204 includes a microprocessor 206, <u>switching</u> electronics 208, a stored computer program 210, and electronic storage 212 for use by the controller 204 and microprocessor 206. Together, controller 204 routes information received from the SCSI bus 104 to the specific drive 218, 224, 230 as determined by the program stored in controller 204 in program 210. This routing is accomplished using individual buses and control lines for each of the drives. For example, as shown, drive 218 is connected to the controller 204 via a bus 214. In addition, a control line 216 is included, which allows controller 204 to control the operation of drive 218. Similarly, drive 224 includes a bus 220 and a control line 222, and drive 230 includes a bus 226 and

a control line 228. It can be seen that this approach requires specific buses and control lines for the controller 204 in order to effect the desired data transfer.

#### DEPR:

Specifically, the host computer 102 provides a master SCSI ID number and a logical unit number as part of its standard SCSI protocol. The Minnow 304 responds to its master SCSI ID number 6. It maps communications to the devices

connected to its subordinate SCSI bus 306 in accordance with the logical unit numbers that are supplied by the host computer 102. Only one master SCSI ID number is used for the master SCSI bus 104. However, through the use of the logical unit members associated with that master SCSI ID number, the Minnow 304

is able to connect up to eight additional devices to the host computer 102 through the use of its mapping function and its subordinate SCSI bus 306.

of the additional devices connected to its subordinate SCSI bus 306 does not have to be modified since the mapping of the logical unit numbers from the master SCSI bus 104 are converted to subordinate SCSI ID numbers for the subordinate SCSI bus 306 in the manner discussed below. Thus, the Minnow 304 **bridges** the master SCSI bus 104 with its subordinate SCSI bus 306. This is described below in greater detail.

# DEPR:

Referring now to FIG. 4, the master SCSI bus 104 is connected to a master selection machine 402, a master reselection machine 404, and transceivers 406. Master selection machine 402 is connected to an ID <a href="masker"><u>switch</u></a> 406, as is the master

reselection machine 404. The ID  $\underline{\text{switch}}$  406, which typically is a DIP or toggle

 $\frac{\text{switch}}{\text{SCST}}$  of conventional design can be set by the user to specify the master

ID number for the master SCSI bus 104 that the Minnow 304 is set to respond to.

#### DEPR.

Thereafter, the main control machine 412 via transceiver control signals 418 uses the transceivers 406 to properly transfer the data on the subordinate SCSI

bus 306 to the master SCSI bus 104 and vice versa. In this way, the target and

the initiator believe that they are in direct communications with each other. The Minnow 304 can be fabricated using any conventional or future developed approach. It is contemplated that the Minnow 304, with the exception of the ID

<u>switch</u> 406, can readily be implemented in a single chip form utilizing conventional technology. Such a single chip approach is attractive due to the small size, low cost, and low power consumption that would be achieved.

#### DEPR:

The Minnow 304 remains in the idle state. This changes in a step 708 when the initiator selects a target at the master bus SCSI ID (or address) as set by the

ID <u>switch</u> 406. Thereafter, in a step 710, the master selection machine 402 responds to the selection of the Minnow 304 in accordance with the receipt of the master SCSI ID from the master SCSI bus 104 as set by ID <u>switch</u> 406. In a step 712, the master selection machine 402 handshakes an Identify Message Out received from the initiator on the master SCSI bus 104.

#### CLPR:

1. A system for allowing more than eight devices to be effectively connected to a master SCSI bus, the system adapted to enable <u>communications</u> to occur between a host device having a first SCSI port, and a <u>plurality of target</u> devices, each having a SCSI port, the system comprising:

#### CLPR:

7. The system of claim 6, wherein said master reselection machine means comprises an ID <u>switch</u> for permitting the selection of said second master bus SCSI ID number of said minnow means.

## CLPR:

9. A system for allowing more than eight devices to be effectively connected to a master SCSI bus, the system adapted to enable communications to occur between a host device having a first SCSI port and a plurality of target devices, each having a SCSI port, the host device connected to the master SCSI bus at the first SCSI, and the plurality of target devices connected to a subordinate SCSI bus, the system transferring communications from the master SCSI bus to a selected target device on the subordinate SCSI bus, the system comprising:

#### CLPR:

11. The system of claim 10, further comprising an ID <u>switch</u> means to permit selection of the second master bus SCSI ID number to which the master selection machine means responds.

#### CLPR:

13. The system of claim 12, further comprising an ID <u>switch</u> means to permit the selection of the second master bus SCSI ID number to which the subordinate machine means responds.

## CLPR:

14. A method for allowing more than eight units on a master SCSI bus to transfer communications between a host device having a first SCSI port and a selected one of a plurality of target device, each having a SCSI port, the host

device connected to the master SCSI bus at the first SCSI port and having a

first master bus SCSI ID number used to identify the host device on the master SCSI bus, the system having a minnow device having a second SCSI port and a third SCSI port, the minnow device connected to the master SCSI bus at the second SCSI port and to a subordinate SCSI bus at the third SCSI port, and the plurality of target devices connected to a subordinate SCSI bus, the method comprising:

#### CLPV:

(c) minnow means having a second SCSI port and a third SCSI port, for transferring the <u>communications</u> between the host device and one of the <u>plurality of target devices</u> selected by the host device, said minnow means connected to said master SCSI bus at said second SCSI port and to said subordinate SCSI bus at said third SCSI port, said minnow means having a second

master bus SCSI ID number used to identify said minnow means on said master SCSI bus and a first subordinate SCSI ID number used to identify said minnow means on said subordinate SCSI bus, and for converting a SCSI logical unit number received from the host device to a second subordinate bus SCSI ID number, said second subordinate bus SCSI ID number identifying said selected <a href="target device">target device</a> on said subordinate SCSI bus to establish <a href="communications">communications</a> between the host device and said selected <a href="target device">target device</a>.

#### CLPV

an ID **switch** to permit the setting of said second master bus SCSI ID number of said minnow means; and

BSPR:

	<b>L#</b>	Hits	Search Text	DBs
1	L9	41686	5and 6	USPAT; US-PGPUB
2	L8	1	7 and 4	USPAT; US-PGPUB
3	L7	2	5 same 6	USPAT; US-PGPUB
4	L6	41168	(indicat\$4 or identify\$4) adj3 (fail\$4 or trouble\$ or problem\$ or error\$)	USPAT; US-PGPUB
5	L5	389	1 with 2	USPAT; US-PGPUB
6	L4	736343	router\$ or switch\$3 or bridge\$	USPAT; US-PGPUB
7	L3	102287	(plural\$4 or multipl\$4) adj2 (unit\$ or device\$ or system\$)	USPAT; US-PGPUB
8	L2	4216	target adj1 (device\$ or unit\$ or system\$)	USPAT; US-PGPUB
9	L12	19	10 and 4	USPAT; US-PGPUB
10	L11	0	10 and 4 and 6	USPAT; US-PGPUB
11	L10	23	5 same 3	USPAT; US-PGPUB
12	L1	602528	communicat\$4	USPAT; US-PGPUB

DOCUMENT-IDENTIFIER: US 5925120 A

TITLE: Self-contained high speed repeater/lun converter which controls all

SCSI

operations between the host SCSI bus and local SCSI bus

#### BSPR:

As shown, controller 204 includes a microprocessor 206, switching electronics 208, a stored computer program 210, and electronic storage 212 for use by the controller 204 and microprocessor 206. Together, controller 204 routes information received from the SCSI bus 104 to the specific drive 218, 224, 230 as determined by the program stored in program 210 of controller 204. This routing is accomplished using individual buses and control lines for each of the drives. For example, as shown, drive 218 is connected to the controller 204 via a bus 214. In addition, a control line 216 is included, which allows controller 204 to control the operation of drive 218. Similarly, drive 224 includes a bus 220 and a control line 222, and drive 230 includes a bus 226 and

a control line 228. It can be seen that this approach requires specific buses and control lines for the controller 204 in order to effect a desired data transfer.

#### BSPR:

U.S. Pat. No. 5,239,632 entitled "DEVICE TO TRANSLATE LOGICAL UNIT NUMBER COMMUNICATIONS ON ONE SCSI BUS TO ID COMMUNICATIONS ON A SUBORDINATE SCSI BUS",

incorporated herein by reference, solved many of the problems of the prior art by use of a SCSI LUN converter utilizing state machines and transfer gates to <a href="mailto:bridge">bridge</a> between a main SCSI bus and a subordinate SCSI bus supporting additional

devices, as shown in FIGS. 3 and 4.

#### BSPR:

Specifically, host computer 102 provides a master SCSI ID number and a logical unit number as part of its standard SCSI protocol. The Minnow 304 responds to its master SCSI ID number 6. It maps communications to the devices connected to its subordinate SCSI bus 306 in accordance with the logical unit numbers that are supplied by the host computer 102. Only one master SCSI ID number is used for the master SCSI bus 104. However, through the use of the logical unit

numbers associated with that master SCSI ID number, the Minnow 304 is able to connect up to eight additional devices to the host computer 102 through the use

of its mapping function and its subordinate SCSI bus 306. None of the additional devices connected to its subordinate SCSI bus 306 have to be modified since the mapping of the logical unit numbers from the master SCSI bus

104 are converted to subordinate SCSI ID numbers for the subordinate SCSI bus 306. Thus, Minnow 304 **bridges** the master SCSI bus 104 with its subordinate SCSI bus 306.

# BSPR:

Referring now to FIG. 4, the master SCSI bus 104 is connected to a master selection machine 402, a master reselection machine 404, and transceivers 406. Master selection machine 402 is connected to an ID <a href="masker">switch</a> 406, as is the master

reselection machine 404. The ID switch 406 can be set by the user to specify

the master SCSI ID number for the master SCSI bus 104 that the Minnow 304 is set to respond to.

#### BSPR:

Although this LUN converter solution does meet the specifications for the SCSI bus timing and connects the local target devices "directly" with the master SCSI bus 104 with the use of buffers used as  $\underline{\text{switches}}$  to connect and disconnect

signals, it is deficient in several critical areas. First, this solution does not address error conditions on the bus, such as when the host computer 102 tries to use a SCSI message which is not supported by LUN converter. There are

many messages defined in SCSI, not all of which apply to all SCSI devices. Also, it is not mandatory for all SCSI devices to accept all SCSI messages. If

the host computer 102 uses one of the messages that is not supported with the LUN converter, the LUN converter must be able to give the correct response back

to the host computer 102. This LUN converter solution also does not handle communications from the host computer 102 directed at the LUN converter itself instead of a local target device such as device reset messages without the identify which imply resetting all LUN's within the target.

#### BSPR:

The controller can be another ASIC with memory or a small microcontroller/microprocessor. It's responsibilities include power on self test, Corona configuration and control, SCSI host bus type selection and monitoring, LUN Select and Reselect processing, SCSI message processing, SCSI error handling. Information needed by the controller can be supplied through any number of standard means such as an EEPROM, a parallel port, <a href="mailto:switches">switches</a>, or even a jumper bay.

#### CLPR:

1. A system for allowing more than eight devices to be effectively connected to a single narrow host SCSI bus, the system adapted to enable <a href="communications">communications</a> to occur between a host device having a first SCSI port, and a <a href="plurality of target devices">plurality of target devices</a>, each having a SCSI port, the system comprising:

#### CLPR:

7. A system for allowing more than eight devices to be effectively connected to a single narrow host SCSI bus, the system adapted to enable <u>communications</u> to occur between a host device having a first SCSI port, and a <u>plurality of target devices</u>, each having a SCSI port, the system comprising:

## CLPR:

9. A system for allowing more than eight devices to be effectively connected to a single narrow host SCSI bus, the system adapted to enable **communications** to occur between a host device having a first SCSI port, and a **plurality of target devices**, each having a SCSI port, the system comprising:

#### CLPR:

11. A system for allowing more than eight devices to be effectively connected to a single narrow host SCSI bus, the system adapted to enable **communications** to occur between a host device having a first SCSI port, and a **plurality of** target devices, each having a SCSI port, the system comprising:

#### CLPR:

14. A system for allowing more than eight devices to be effectively connected

to a single narrow host SCSI bus, the system adapted to enable **communications** to occur between a host device having a first SCSI port, and a **plurality of** target devices, each having a SCSI port, the system comprising:

#### CLPR:

16. A method for allowing more than eight units on a host SCSI bus to transfer

communications on between a host device having a first SCSI port and a selected

of a <u>plurality of target devices</u>, each having a SCSI port, the host device connected to the host SCSI bus at the first SCSI port and having a first host bus SCSI ID number used to identify the host device on the host SCSI bus, the system having a Corona device having a second SCSI port and a third SCSI port, the Corona device connected to the host SCSI bus at the second SCSI port and

a local SCSI bus at the third SCSI port, and the **plurality of target devices** connected to a local SCSI bus, the method comprising:

#### CLPV:

Corona means having a second SCSI port and a third SCSI port, for transferring the <u>communications</u> between the host device and one of the <u>plurality of target</u> <u>devices</u> selected by the host device, said Corona means connected to said host <u>SCSI</u> bus at said second SCSI port and to said local SCSI bus at said third SCSI

port, said Corona means having a second host bus SCSI ID number used to identify said Corona means on said host SCSI bus and a first local SCSI ID number used to identify said Corona means on said local SCSI bus, and for converting a SCSI logical unit number received from the host device to a second

local bus SCSI ID number, said second local bus SCSI ID number identifying said

selected <u>target device</u> on said local SCSI bus to establish <u>communications</u>
between the host device and said selected <u>target device</u>, wherein said Corona
means further comprises data path logic, connected between said controller and
said host SCSI bus and said local SCSI bus, for converting <u>communications</u>
between said host SCSI bus and said local SCSI bus into appropriate data mode;
and

#### CLPV:

Corona means having a second SCSI port and a third SCSI port, for transferring the **communications** between the host device and one of the **plurality of target devices** selected by the host device, said Corona means connected to said host SCSI bus at said second SCSI port and to said local SCSI bus at said third SCSI

port, said Corona means having a second host bus SCSI ID number used to identify said Corona means on said host SCSI bus and a first local SCSI ID number used to identify said Corona means on said local SCSI bus, and for converting a SCSI logical unit number received from the host device to a second

local bus SCSI ID number, said second local bus SCSI ID number identifying said

selected target device on said local SCSI bus to establish communications between the host device and said selected target device, wherein said Corona means further comprises arbitration logic, connected to said host SCSI bus at said second SCSI port and to said local SCSI bus at said third SCSI port, for handling arbitration operations between said host SCSI bus and said local SCSI bus, and data path logic, connected between said controller and said host SCSI bus and said local SCSI bus, for converting communications between said host

SCSI bus and said local SCSI bus into the appropriate data mode; and

#### CLPV:

Corona means having a second SCSI port and a third SCSI port, for transferring the <u>communications</u> between the host device and one of the <u>plurality of target</u> <u>devices</u> selected by the host device, said Corona means connected to said host SCSI bus at said second SCSI port and to said local SCSI bus at said third SCSI

port, said Corona means having a second host bus SCSI ID number used to identify said Corona means on said host SCSI bus and a first local SCSI ID number used to identify said Corona means on said local SCSI bus, and for converting a SCSI logical unit number received from the host device to a second

local bus SCSI ID number, said second local bus SCSI ID number identifying said

selected target device on said local SCSI bus to establish communications between the host device and said selected target device, wherein said second SCSI port of said Corona means has host single-ended and differential SCSI ports to enable said Corona means to be connected to and support either a single-ended or a differential host SCSI bus; and

#### CLPV:

Corona means having a second SCSI port and a third SCSI port, for transferring the <u>communications</u> between the host device and one of the <u>plurality of target</u> <u>devices</u> selected by the host device, said Corona means connected to said host SCSI bus at said second SCSI port and to said local SCSI bus at said third SCSI

port, said Corona means having a second host bus SCSI ID number used to identify said Corona means on said host SCSI bus and a first local SCSI ID number used to identify said Corona means on said local SCSI bus, and for converting a SCSI logical unit number received from the host device to a second

local bus SCSI ID number, said second local bus SCSI ID number identifying said

selected <u>target device</u> on said local SCSI bus to establish <u>communications</u> between the host device and said selected <u>target device</u>; and

#### CLPV:

Corona means having a second SCSI port and a third SCSI port, for transferring the <u>communications</u> between the host device and one of the <u>plurality of target</u> <u>devices</u> selected by the host device, said Corona means connected to said host <u>SCSI</u> bus at said second SCSI port and to said local SCSI bus at said third SCSI

port, said Corona means having a second host bus SCSI ID number used to identify said Corona means on said host SCSI bus and a first local SCSI ID number used to identify said Corona means on said local SCSI bus, and for converting a SCSI logical unit number received from the host device to a second

local bus SCSI ID number, said second local bus SCSI ID number identifying said

selected <u>target device</u> on said local SCSI bus to establish <u>communications</u> between the host device and said selected <u>target device</u>; and

Transaction Capabilities Application Part (TCAP) (902). These layers (902-904)

together are in conformance with the SS7 protocol. The application layer comprises the Mobile Application Part (MAP) (901). It is the MAP layer (901) that is used to communicate control information, as described above, between <a href="mailto:switching">switching</a> centers, HLRs, and VLRs. For example, the modify mobile—to—mobile message would be sent within the MAP layer (901).

#### DEPR:

The present invention a method for establishing and maintaining calls between mobile units in single and multiple <a href="mailto:switching">switching</a> center configurations such that multiple transcoder format conversions are avoided. This is accomplished by providing control messaging capabilities such that <a href="mailto:switching">switching</a> centers can determine that a mobile-to-mobile call is in progress. Knowing this, the <a href="switching">switching</a> centers can instruct transcoders to allow compressed digital voice to

be passed in an essentially transparent manner, thereby avoiding multiple format conversions.

## CLPR:

1. In a communication system that comprises a <u>switching</u> center and at least one site controller in communication with the <u>switching</u> center via at least two

transcoders, a method for the <u>switching</u> center to establish a call, the method comprising the steps of:

#### CLPR:

10. In a <u>communication</u> system that comprises a <u>plurality of mobile units</u> capable of wirelessly transmitting and receiving compressed digital voice, a first site controller in wireless <u>communication</u> with the <u>plurality</u> of mobile <u>units</u>, a second site controller in wireless <u>communication</u> with the <u>plurality</u> of

mobile units, and a switching center that routes non-compressed digital voice,
wherein the first site controller is in communication with the switching
center

via a first transcoder and the second site controller is in **communication with**the switching center via a second transcoder, a method for the switching center

to establish a call between a first mobile unit of the <u>plurality of mobile</u> units and a target unit via the <u>switching</u> center, the method comprising the steps of:

#### CLPR:

19. In a communication system that comprises a first switching center in communication with a second switching center, a first site controller in wireless communication with a first set of mobile units, and a second site controller in wireless communication with a second set of mobile units, wherein

the first <u>switching</u> center is in communication with a home location register and the home location register is in communication with a visiting location register, wherein the second <u>switching</u> center is in communication with the visiting location register, and wherein the first site controller is in communication with the first <u>switching</u> center via a first transcoder and the second site controller is in <u>communication</u> with the second <u>switching</u> center via

a second transcoder, a method for call set-up between a first mobile unit of the first set of mobile units and a second mobile unit of the second set of

L1 ANSWER 1 OF 2 INPADOC COPYRIGHT 2004 EPO on STN

LEVEL 2
AN 27482755 INPADOC ED 19990928 EW 199938 UP 20000508 UW 200018
TI NETWORK MANAGEMENT EVENT CORRELATION IN ENVIRONMENTS CONTAINING

IN WALKER, ANTHONY; PULSIPHER, ERIC A.; SMITH, DARREN D. INS WALKER ANTHONY; PULSIPHER ERIC A; SMITH DARREN D

INA US; US; US

PA HEWLETT-PACKARD COMPANY

INOPERATIVE NETWORK ELEMENTS.

PAS HEWLETT PACKARD CO

PAA US

TL English; French; German

LA English

DT Patent

PIT EPA3 PUBL. OF SEARCH REPORT

PI EP 909056

A3 19990922

DS R: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

AI EP 1998-108915

A 19980515

PRAI US 1997-947219 A 19971008

L1 ANSWER 2 OF 2 WPIX COPYRIGHT 2004 THOMSON DERWENT on STN

AN 1999-217298 [19] WPIX

DNN N1999-160187

TI Network monitor e.g. for distinguishing between broken and inaccessible network elements.

DC WO:

IN PULSIPHER, E A; SMITH, D D; WALKER, A

PA (HEWP) HEWLETT-PACKARD CO

CYC 27

PI EP 909056 A2 19990414 (199919)\* EN 21<--

R: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT

RO SE SI

JP 11184781 A 19990709 (199938) 20

US 6061723 A 20000509 (200030)

ADT EP 909056 A2 EP 1998-108915 19980515; JP 11184781 A JP 1998-278175

19980930; US 6061723 A US 1997-947219 19971008

PRAI US 1997-947219 19971008

=> dis fam

L1 ANSWER 1 OF 2 INPADOC COPYRIGHT 2004 EPO on STN

PATENT FAMILY INFORMATION AN 27482755 INPADOC

+PRAI-		+	+	- <b></b> AI		+
US 1997-947219		19971008	EP	1998-108915	Α	19980515
			JP	1998-278175	Α	19980930
			US	1997-947219	Α	19971008
+AI		+	+	PI		+
+AI EP 1998-108915		+ 19980515		P1 <b></b> 909056		19990414
			EP	<del></del>	A2	
			EP EP	909056	A2 A3	19990414
EP 1998-108915	Α	19980515	EP EP JP	909056 909056	A2 A3	19990414 19990922

<sup>1</sup> priority, 3 applications, 4 publications

```
379/93.29; 379/90.01; 379/114; 455/5.1; 455/6.3; $48/14; 348/17;
348/10;
       348/15
    ANSWER 5 OF 7 USPATFULL
L20
       1999:16830 USPATFULL
ΝA
       System, method and article of manufacture for communications utilizing
TI
       calling, plans in a hybrid network
       Elliott, Isaac K., Colorado Springs, CO, United States
IN
       Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
      MCI Communications Corporations, Washington, DC, United States (U.S.
PΑ
       corporation)
       US 5867495 19990202
PΙ
       US 1996-758734 19961118 (8)
ΑI
       Utility
DT
LN.CNT 12334
       INCLM: 370/352.000
INCL
       INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000;
              379/144.000
              370/352.000
NCL
       NCLM:
             370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000;
       NCLS:
              379/144.000
IC
       [6]
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56; H04M015-00
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/410; 370/408;
EXF
       379/89; 379/90.01; 379/100.11; 379/114; 379/100.13; 379/93.08;
       379/93.07; 379/93.14; 379/93.29; 379/144
L20 ANSWER 6 OF 7 USPATFULL
       1999:16829 USPATFULL
ΑN
       System, method and article of manufacture with integrated video
TI
       conferencing billing in a communication system architecture
       Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
IN
       Elliott, Isaac K., Colorado Springs, CO, United States
       Reynolds, Tim E., Iowa City, IA, United States
       Forgy, Glen A., Iowa City, IA, United States
       Solbrig, Erin M., Cedar Rapids, IA, United States
       MCI Communication Corporation, Washington, DC, United States (U.S.
PA
       corporation)
       US 5867494 19990202
PΙ
       US 1996-752271 19961118 (8)
ΑI
       Utility
LN.CNT 16241
       INCLM: 370/352.000
INCL
       INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
NCL
       NCLM: 370/352.000
       NCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
IC
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/458; 370/410;
EXF
       370/256; 379/67; 379/89; 379/93.07; 379/93.08; 379/93.25; 379/100.11;
       379/114; 379/201; 379/207; 379/90.01; 455/436
    ANSWER 7 OF 7 USPATFULL
       94:71668 USPATFULL
AN
       Apparatus and method for creation of a user definable video displayed
TI
       document showing changes in real time data
       Risberg, Jeffrey S., 3249 Morris Dr., Palo Alto, CA, United States
IN
       Skeen, Marion D., 3826 Magnolia Dr., Palo Alto, CA, United States
94306
```

US 5339392 19940816

US 1990-636044 19901228 (7)

PΙ

AΙ

RLI Continuation-in-part of Ser. No. US 1990-632551, filed on 21 Dec 1990 which is a continuation-in-part of Ser. No. US 1990-601117, filed on 22 Oct 1990, now patented, Pat. No. US 5257369 which is a continuation-in-part of Ser. No. US 1989-386584, filed on 27 Jul 1989, now patented, Pat. No. US 5187787

DT Utility LN.CNT 7121

INCL INCLM: 395/161.000

INCLS: 395/155.000; 364/408.000

NCL NCLM: 345/333.000

NCLS: 345/334.000; 707/501.000

IC [5]

ICM: G06F015-62 ICS: G06F015-16

EXF 364/144-149; 364/155; 364/161; 364/408; 364/411; 364/412; 364/419;

358/84

1/20187h

```
(FILE 'HOME' ENTERED AT 15:20:13 ON 20 NOV 2000)
     FILE 'USPATFULL' ENTERED AT 15:20:33 ON 20 NOV 2000
            670 S DIRECTORY SERVICE#
L1
          80809 S (ASSIGN? OR HANDL?)(P)(TASK? OR JOB# OR WORK?)
L2
L3
             28 S L1 (P) L2
             28 S L3 AND SERVER#
L4
             23 S L4 AND CLIENT#
L5
             0 S COUNDOWN CLOCK#
L6
             42 S COUNTDOWN CLOCK#
L7
              0 S L7 AND L5
L8
              0 S L7 AND L3
L9
L10
              0 S L1 AND L7
              4 S L2 AND L7
1.11
          45709 S (TREE# OR HIERCHICAL)
1.12
          53415 S (TREE# OR HIERARCHICAL)
L13
            291 S L1 AND L13
L14
              0 S L14 AND L7
L15
            252 S L14 AND SERVER#
L16
             74 S L16 AND (CLOCK# OR TIMER#)
L17
L18
             33 S L17 AND L2
             24 S L18 AND CLIENT#
L19
              7 S L19 AND RESOURCE MANAGEMENT#
L20
          10850 S (ASSIGN? OR HANDL? OR DISTRIBUT?) (P) (PLURAL? OR
L21
MULTIPL?)(P)(
            103 S L21 AND L1
L22
L23
              0 S L22 AND L7
             23 S L22 AND CLOCK#
L24
             12 S L24 AND ((MULTIP? OR PLURAL?)(3A)(SERVER#))
L25
              3 S L25 AND RESOURCE MANAGEMENT#
L26
=> d 125 1-12
     ANSWER 1 OF 12 USPATFULL
       2000:115204 USPATFULL
ΑN
       Systems and methods for matching, selecting, narrowcasting, and/or
ΤI
       classifying based on rights management and/or other information
       Shear, Victor H., Bethesda, MD, United States
ΙN
       Van Wie, David M., Sunnyvale, CA, United States
       Weber, Robert P., Menlo Park, CA, United States
       InterTrust Technologies Corporation, Santa Clara, CA, United States
PΑ
       (U.S. corporation)
PΙ
       US 6112181 20000829
       US 1997-965185 19971106 (8)
ΑI
       Utility
LN.CNT 5857
       INCLM: 705/001.000
INCL
NCL
       NCLM: 705/001.000
IC
       [7]
       ICM: G06F017-60
       705/1; 705/10; 705/14; 705/40; 705/400; 707/9; 707/10; 380/4
EXF
L25 ANSWER 2 OF 12 USPATFULL
       2000:102904 USPATFULL
MΑ
       Computer telephone system and method for associating data types with a
TΤ
       color making the data type easily recognizable
       Bayless, Jeanne A., Plano, TX, United States
IN
```

```
Black, William B., McKinney, TX, United States
      Brannick, Gary L., Plano, TX, United States
      Lee, Gene W., Plano, TX, United States
      Lloyd, Lora M., Plano, TX, United States
      Mason, Larry P., Fairview, TX, United States
      Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
       Young, Garrett C., Garland, TX, United States
       Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
      Withers, Robert W., Maryland Heights, MO, United States
       Davox Corporation, Westford, MA, United States (U.S. corporation)
PΑ
       US 6100873 20000808
PΙ
       US 1998-56717 19980407 (9)
ΑI
       Division of Ser. No. US 1997-804233, filed on 21 Feb 1997, now
patented,
       Pat. No. US 5754636 which is a continuation of Ser. No. US 1994-333058,
       filed on 1 Nov 1994, now abandoned
       Utility
DΨ
LN.CNT 3444
       INCLM: 345/150.000
INCL
       INCLS: 345/153.000
NCL
       NCLM: 345/150.000
       NCLS: 345/153.000
       [7]
IC
       ICM: G09G005-02
       ICS: G09G005-04
       345/145; 345/146; 345/150; 345/153; 345/156; 345/157; 345/333; 345/334;
EXF
       345/348; 345/350; 345/431
    ANSWER 3 OF 12 USPATFULL
       2000:92889 USPATFULL
ΑN
       Remote communications server system
ΤI
       Hong, Kevin, Spring Lake Park, MN, United States
ΙN
       Damodar, Bhat V, Bangalore, India
       Narasimhan, Sundararajan, Banglore, India
       Martenson, Dale W., Mounds View, MN, United States
       Sharma, Raghu, North Oaks, MN, United States
       Davis, Jeffrey P., Ham Lake, MN, United States
       Johnson, Gregory R., New Brighton, MN, United States
       Multi-Tech Systems, Inc., Mounds View, MN, United States (U.S.
PA
       corporation)
       US 6091737 20000718
ΡI
       US 1997-970644 19971114 (8)
AΤ
                           19961115 (60)
PRAT
      US 1996-33201
       Utility
DΨ
LN.CNT 2182
       INCLM: 370/431.000
INCL
       INCLS: 370/438.000
       NCLM:
              370/431.000
NCL
       NCLS: 370/438.000
       [7]
IC
       ICM: H04L012-28
       ICS: H04L012-40
       370/431; 370/432; 370/433; 370/435; 370/437; 370/438; 370/439; 370/448;
EXF
       370/401; 375/222; 395/200.47; 395/200.49
L25 ANSWER 4 OF 12 USPATFULL
       2000:89483 USPATFULL
ΑN
       Automated meter reading system
ΤI
       Kelley, Raymond H., Raleigh, NC, United States
IN
       Carpenter, Richard Christopher, Fuquay-Varina, NC, United States
       Lunney, Robert H., Cary, NC, United States
       Martinez, Maureen, Raleigh, NC, United States
```

```
ABB Power T&D Company Inc., Raleigh, NC, United States (U.S.
PA
       corporation)
       US 6088659 20000711
PΙ
       US 1998-82647 19980521 (9)
ΑI
                           19970911 (60)
PRAI
       US 1997-58659
       Utility
DT
LN.CNT 4678
       INCLM: 702/062.000
INCL
       INCLS: 705/412.000; 709/201.000; 709/203.000; 340/870.020
              702/062.000
NCT.
              340/870.020; 705/412.000; 709/201.000; 709/203.000
       NCLS:
       [7]
IC
       ICM: G06F015-63
       702/62; 702/61; 702/81-84; 702/122; 702/123; 702/179; 702/182; 702/183;
EXF
       702/186; 702/187; 702/188; 702/FOR170; 702/FOR171; 702/FOR155;
       702/FOR103; 702/FOR104; 702/FOR106; 702/FOR111; 702/FOR112; 364/528.26;
       364/528.3; 364/528.31; 364/130-133; 364/138; 364/191; 364/192;
       395/200.31; 395/200.54; 395/837; 395/838; 395/200.53; 395/200.33;
       395/200.58; 395/200.6; 395/682; 395/200.32; 707/10; 707/11; 707/103;
       707/104; 707/2; 705/1; 705/7; 705/8; 705/401; 705/404; 705/405;
       705/412; 340/870.01; 340/870.02; 340/870.16; 340/870.03; 340/870.13;
       340/870.18; 340/870.31; 340/657-664; 379/106.03; 379/102.04;
379/106.01;
       379/FOR129; 709/200-203; 709/219; 709/244; 709/300; 700/2; 700/3;
700/9;
       700/86; 700/87; 700/286; 700/291; 700/295; 700/296
     ANSWER 5 OF 12 USPATFULL
       2000:41897 USPATFULL
       Computer telephone system
TI
       Bayless, Jeanne A., Plano, TX, United States
IN
       Black, William B., McKinney, TX, United States
       Brannick, Gary L., Plano, TX, United States
       Lee, Gene W., Plano, TX, United States
       Lloyd, Lora M., Plano, TX, United States
       Mason, Larry P., Fairview, TX, United States
       Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
       Young, Garrett C., Garland, TX, United States
       Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
       Withers, Robert W., Maryland Heights, MO, United States
       Davox Corporation, Westford, MA, United States (U.S. corporation)
PΑ
       US 6047054 20000404
ΡI
       US 1998-56718 19980407 (9)
ΑI
       Division of Ser. No. US 1997-804233, filed on 21 Feb 1997, now
RLI
patented,
       Pat. No. US 5754636, issued on 19 May 1998 And a continuation of Ser.
       No. US 1994-333058, filed on 1 Nov 1994, now abandoned
       Utility
DT
LN.CNT 3564
       INCLM: 379/202.000
INCL
       INCLS: 379/158.000; 379/201.000; 379/204.000; 379/205.000
NCL
              379/202.000
              379/158.000; 379/201.000; 379/204.000; 379/205.000
       NCLS:
IC
       [7]
       ICM: H04M003-42
       379/157; 379/158; 379/160; 379/202; 379/204; 379/205; 379/206; 379/201;
EXF
       379/93.17; 379/93.23; 379/203
     ANSWER 6 OF 12 USPATFULL
L25
       2000:19240 USPATFULL
ΑN
       Computer telephone system
ТΙ
```

```
Bayless, Jeanne A., Plano, TX, United States
IN
       Black, William B., McKinney, TX, United States
       Brannick, Gary L., Plano, TX, United States
       Lee, Gene W., Plano, TX, United States
       Lloyd, Lora M., Plano, TX, United States
       Mason, Larry P., Fairview, TX, United States
       Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
       Young, Garrett C., Garland, TX, United States
       Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
       Withers, Robert W., Maryland Heights, MO, United States
       Davox Corporation, Westford, MA, United States (U.S. corporation)
PA
       US 6026158 20000215
PΙ
       US 1998-56507 19980407 (9)
ΑI
       Division of Ser. No. US 1997-804233, filed on 21 Feb 1997, now
RLI
patented,
       Pat. No. US 5754636 which is a continuation of Ser. No. US 1994-333058,
       filed on 1 Nov 1994, now abandoned
DT
       Utility
LN.CNT 3714
       INCLM: 379/355.000
INCL
       INCLS: 379/088.030; 379/093.230
       NCLM: 379/355.000
NCL
       NCLS: 379/088.030; 379/093.230
       [7]
IC
       ICM: H04M015-00
       379/88.03; 379/93.04; 379/93.21; 379/93.23; 379/93.24; 379/352;
EXE
379/355;
       379/356; 379/354
     ANSWER 7 OF 12 USPATFULL
       1999:161141 USPATFULL
       Method for video telephony over a hybrid network
TΙ
       Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
IN
       Elliott, Isaac K., Colorado Springs, CO, United States
       Reynolds, Tim E., Iowa City, IA, United States
       Forgy, Glen A., Iowa City, IA, United States
       Solbrig, Erin M., Cedar Rapids, IA, United States
       MCI Communications Corporation, Washington, DC, United States (U.S.
PA
       corporation)
       US 5999525 19991207
ΡI
       US 1996-751215 19961118 (8)
ΑI
DT
       Utility
LN.CNT 20754
INCL
       INCLM: 370/352.000
       INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
              370/352.000
NCL
       NCLM:
              370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
       NCLS:
       [6]
IC
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/468; 370/463;
EXF
       370/493; 370/410; 379/100.13; 379/93.08; 379/93.07; 379/93.14;
       379/93.29; 379/90.01; 379/114; 455/5.1; 455/6.3; 348/14; 348/17;
348/10;
       348/15
     ANSWER 8 OF 12 USPATFULL
L25
       1999:152479 USPATFULL
ΑN
       Computer telephone system
TТ
       Bayless, Jeanne A., Plano, TX, United States
IN
       Black, William B., McKinney, TX, United States
       Brannick, Gary L., Plano, TX, United States
```

1

```
Lee, Gene W., Plano, TX, United States
      Lloyd, Lora M., Plano, TX, United States
      Mason, Larry P., Fairview, TX, United States
      Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
      Young, Garrett C., Garland, TX, United States
Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
      Withers, Robert W., Maryland Heights, MO, United States
       Davox Corporation, Weston, MA, United States (U.S. corporation)
PΑ
       US 5991382 19991123
PΙ
       US 1998-56672 19980407 (9)
ΑI
       Division of Ser. No. US 1997-804233, filed on 21 Feb 1997, now
RLI
patented,
       Pat. No. US 5754636 which is a continuation of Ser. No. US 1994-333058,
       filed on 1 Nov 1994, now abandoned
DT
       Utility
LN.CNT 3676
       INCLM: 379/136.000
INCL
       INCLS: 379/113.000; 379/034.000; 379/267.000; 379/164.000
       NCLM: 379/136.000
NCL
       NCLS: 379/034.000; 379/113.000; 379/164.000; 379/267.000
IC
       [6]
       ICM: H04M015-00
       ICS: H04M001-24; H04M001-00; H04M003-00
       379/34; 379/112; 379/113; 379/133; 379/134; 379/135; 379/136; 379/137;
EXF
       379/140; 379/265; 379/266; 379/267; 379/309; 379/156; 379/162; 379/157;
       379/164
     ANSWER 9 OF 12 USPATFULL
L25
       1999:81294 USPATFULL
ΑN
       Computer telephone system
TI
       Bayless, Jeanne A., Plano, TX, United States
ΙN
       Black, William B., McKinney, TX, United States
       Brannick, Gary L., Plano, TX, United States
       Lee, Gene W., Plano, TX, United States
       Lloyd, Lora M., Plano, TX, United States
       Mason, Larry P., Fairview, TX, United States
       Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
       Young, Garrett C., Garland, TX, United States
       Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
       Withers, Robert W., Maryland Heights, MO, United States
       Davox Corporation, Westford, MA, United States (U.S. corporation)
PΑ
       US 5925101 19990720
PΤ
       US 1998-56569 19980407 (9)
ΑI
       Division of Ser. No. US 1997-804283, filed on 21 Feb 1997, now
patented,
       Pat. No. US 5754636 which is a continuation of Ser. No. US 1994-333058,
       filed on 1 Nov 1994, now abandoned
       Utility
DТ
LN.CNT 3615
INCL
       INCLM: 709/219.000
       NCLM: 709/219.000
NCL
IC
       [6]
       ICM: G06F017-00
       345/200.47; 345/200.48; 345/200.49; 379/201; 379/355; 379/216; 379/354
EXF
    ANSWER 10 OF 12 USPATFULL
L25
       1999:16830 USPATFULL
ΑN
       System, method and article of manufacture for communications utilizing
TI
```

calling, plans in a hybrid network

```
Elliott, Isaac K., Colorado Springs, CO, United States
      Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
      MCI Communications Corporations, Washington, DC, United States (U.S.
PΑ
      corporation)
      US 5867495 19990202
PΙ
ΑI
      US 1996-758734 19961118 (8)
DT
      Utility
LN.CNT 12334
      INCLM: 370/352.000
INCL
      INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000;
              379/144.000
NCL
      NCLM:
              370/352.000
              370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000;
      NCLS:
              379/144.000
IC
       [6]
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56; H04M015-00
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/410; 370/408;
EXF
       379/89; 379/90.01; 379/100.11; 379/114; 379/100.13; 379/93.08;
       379/93.07; 379/93.14; 379/93.29; 379/144
    ANSWER 11 OF 12 USPATFULL
       1999:16829 USPATFULL
AN
       System, method and article of manufacture with integrated video
ΤI
       conferencing billing in a communication system architecture
       Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
ΙN
       Elliott, Isaac K., Colorado Springs, CO, United States
       Reynolds, Tim E., Iowa City, IA, United States
       Forgy, Glen A., Iowa City, IA, United States
       Solbrig, Erin M., Cedar Rapids, IA, United States
      MCI Communication Corporation, Washington, DC, United States (U.S.
PΑ
       corporation)
PΙ
       US 5867494 19990202
       US 1996-752271 19961118 (8)
ΑT
DΤ
       Utility
LN.CNT 16241
       INCLM: 370/352.000
INCL
       INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
              370/352.000
NCL
              370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
       NCLS:
       [6]
ΙC
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/458; 370/410;
EXF
       370/256; 379/67; 379/89; 379/93.07; 379/93.08; 379/93.25; 379/100.11;
       379/114; 379/201; 379/207; 379/90.01; 455/436
    ANSWER 12 OF 12 USPATFULL
       1998:55864 USPATFULL
ΑN
       Computer telephone system
TΙ
       Bayless, Jeanne A., Plano, TX, United States
ΙN
       Black, William B., McKinney, TX, United States
       Brannick, Gary L., Plano, TX, United States
       Lee, Gene W., Plano, TX, United States
       Lloyd, Lora M., Plano, TX, United States
       Mason, Larry P., Fairview, TX, United States
       Mathis, Amy L., Plano, TX, United States
       Steenbergen, James E., Los Gatos, CA, United States
       Stoldt, Mark R., Allen, TX, United States
       Young, Garrett C., Garland, TX, United States
       Young, Gary C., Dallas, TX, United States
       Fissel, James E., Arlington, TX, United States
       Withers, Robert W., Maryland Heights, MO, United States
       Answersoft, Inc., Plano, TX, United States (U.S. corporation)
PA
```

US 5754636 19980519

PΙ

IC [6]

ICM: H04M015-00

ICS: H04M015-06; H04M003-00; H04M003-42

EXF 379/127; 379/130; 379/131; 379/142; 379/96; 379/97; 379/199; 379/201;

379/245; 379/265; 379/266; 379/309

# (FILE 'HOME' ENTERED AT 15:20:13 ON 20 NOV 2000)

```
FILE 'USPATFULL' ENTERED AT 15:20:33 ON 20 NOV 2000
            670 S DIRECTORY SERVICE#
L1
          80809 S (ASSIGN? OR HANDL?) (P) (TASK? OR JOB# OR WORK?)
L2
L3
             28 S L1 (P) L2
             28 S L3 AND SERVER#
L4
L5
             23 S L4 AND CLIENT#
L6
             0 S COUNDOWN CLOCK#
L7
             42 S COUNTDOWN CLOCK#
              0 S L7 AND L5
L8
L9
              0 S L7 AND L3
L10
              0 S L1 AND L7
L11
              4 S L2 AND L7
          45709 S (TREE# OR HIERCHICAL)
L12
          53415 S (TREE# OR HIERARCHICAL)
L13
            291 S L1 AND L13
L14
L15
              0 S L14 AND L7
            252 S L14 AND SERVER#
L16
             74 S L16 AND (CLOCK# OR TIMER#)
L17
L18
             33 S L17 AND L2
L19
             24 S L18 AND CLIENT#
              7 S L19 AND RESOURCE MANAGEMENT#
L20
=> d 1-7
L20 ANSWER 1 OF 7 USPATFULL
ΑN
       2000:103537 USPATFULL
       Clustered file management for network resources
ΤI
       Wolff, James J., Santa Barbara, CA, United States
IN
       Hewlett-Packard Company, Palo Alto, CA, United States (U.S.
PΑ
corporation)
       US 6101508 20000808
PΤ
ΑI
       US 1998-60924 19980415 (9)
       Continuation-in-part of Ser. No. US 1997-905307, filed on 1 Aug 1997,
RLI
       now patented, Pat. No. US 5999930
       US 1998-77146
                            19980306 (60)
PRAI
       US 1996-23218
                            19960802 (60)
       Utility
DТ
LN.CNT 4128
       INCLM: 707/218.000
TNCL
       INCLS: 707/200.000; 707/001.000; 709/200.000; 709/216.000; 709/223.000;
              709/224.000; 709/226.000; 709/239.000; 709/246.000
707/001.000; 707/200.000; 709/200.000; 709/216.000; 709/218.000;
       NCLS:
              709/223.000; 709/224.000; 709/226.000; 709/239.000; 709/246.000
IC
       [7]
       ICM: G06F017-30
       707/1; 707/200; 709/216; 709/223; 709/224; 709/226; 709/239; 709/246;
EXF
       709/200; 709/218; 711/202; 714/4; 714/7; 395/200.69; 364/187; 364/188;
       370/399; 345/349
L20 ANSWER 2 OF 7 USPATFULL
       2000:65768 USPATFULL
AN
       Resource rebalancing in networked computer systems
ΤI
       Wolff, James J., Santa Barbara, CA, United States
IN
       Hewlett-Packard Company, Palo Alto, CA, United States (U.S.
PA
corporation)
```

```
US 6067545 20000523
PΤ
ΑI
       US 1998-60857 19980415 (9)
       Continuation-in-part of Ser. No. US 1997-905307, filed on 1 Aug 1997
RLI
PRAI
       US 1998-77146
                            19980306 (60)
       US 1996-23218
                            19960802 (60)
       Utility
חיים
LN.CNT 4335
INCL
       INCLM: 707/010.000
       INCLS: 707/001.000; 709/200.000; 709/216.000; 709/223.000; 709/224.000;
              709/226.000; 370/238.000; 370/399.000
              707/010.000
NCL
       NCLM:
              370/238.000; 370/399.000; 707/001.000; 709/200.000; 709/216.000;
       NCLS:
              709/223.000; 709/224.000; 709/226.000
IC
       [7]
       ICM: G06F017-30
       707/1; 707/10; 711/202; 709/216; 709/223; 709/224; 709/226; 709/239; 709/246; 709/200; 714/4; 714/7; 395/200.69; 395/200.32; 364/188;
EXF
       364/187; 370/399; 370/238; 345/349
L20 ANSWER 3 OF 7 USPATFULL
       2000:38919 USPATFULL
ΑN
ΤI
       Distributed I/O store
       Wolff, James J., Santa Barbara, CA, United States
ΙN
       Hewlett-Packard Company, Palo Alto, CA, United States (U.S.
PA
corporation)
       US 6044367 20000328
PT
       US 1998-60864 19980415 (9)
ΑI
       Continuation-in-part of Ser. No. US 1997-905307, filed on 1 Aug 1997
RLI
PRAI
       US 1998-77146
                            19980306 (60)
                            19960802 (60)
       US 1996-23218
       Utility
חידים
LN.CNT 4128
       INCLM: 707/002.000
INCL
       INCLS: 707/001.000
       NCLM:
              707/002.000
NCL
       NCLS:
              707/001.000
       [7]
IC
       ICM: G06F017-30
       707/1; 707/2; 709/216; 709/223; 709/224; 709/226; 709/239; 709/246;
EXF
       709/200; 711/202; 714/4; 714/7; 395/200.19; 395/200.32; 364/188;
       364/187; 370/399; 345/349
L20 ANSWER 4 OF 7 USPATFULL
       1999:161141 USPATFULL
ΑN
       Method for video telephony over a hybrid network
TΙ
       Krishnaswamy, Sridhar, Cedar Rapids, IA, United States
IN
       Elliott, Isaac K., Colorado Springs, CO, United States
       Reynolds, Tim E., Iowa City, IA, United States
       Forgy, Glen A., Iowa City, IA, United States
       Solbrig, Erin M., Cedar Rapids, IA, United States
       MCI Communications Corporation, Washington, DC, United States (U.S.
PΑ
       corporation)
       US 5999525 19991207
PΤ
       US 1996-751215 19961118 (8)
ΑI
       Utility
DΤ
LN.CNT 20754
       INCLM: 370/352.000
INCL
       INCLS: 370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
       NCLM:
              370/352.000
NCL
              370/389.000; 370/392.000; 379/090.010; 379/093.070; 379/114.000
       NCLS:
       [6]
IC
       ICM: H04L012-66
       ICS: H04L012-28; H04L012-56
       370/352; 370/383; 370/389; 370/390; 370/392; 370/401; 370/468; 370/463;
EXF
```

370/493; 370/410; 379/100.13; 379/93.08; 379/93.07; 379/93.14;

United States Patent

Patent Number: 6044367 Date of Patent: 28 Mar 2000

# Distributed I/O store

Inventor(s): Wolff, James J., Santa Barbara, CA, United States

Hewlett-Packard Company, Palo Alto, CA, United States (U.S. Assignee:

corporation)

Appl. No.: 98-60864 15 Apr 1998 Filed:

# Related U.S. Application Data

Continuation-in-part of Ser. No. US 1997-905307, filed on 1 Aug 1997

# Priority Data

US	1998-77146	6	Mar	1998	(60)
US	1996-23218	2	Aug	1996	(60)

Int. Cl. ..... G06F017-30

Issue U.S. Cl. ...... 707/002.000; 707/001.000 Current U.S. Cl. ..... 707/002.000; 707/001.000 Field of Search ..... 707/1; 707/2; 709/216; 709/223; 709/224; 709/226;

709/239; 709/246; 709/200; 711/202; 714/4; 714/7; 395/200.19; 395/200.32; 364/188; 364/187; 370/399;

345/349

## Reference Cited

## PATENT DOCUMENTS

	Patent Number	Dat	:e	Class	Inventor
US	5283897	Feb	1994	395/650.000	Georgiadis et al.
US	5408663	Apr	1995	395/650.000	Miller
US	5495426	Feb	1996	709/226.000	Waclawsky
US	5504894	Apr	1996	395/650.000	Ferguson et al.
US	5537542	Jul	1996	395/184.010	Eilert et al.
US	5539883	Jul	1996	395/200.110	Allon et al.
US	5628005		1997	395/608.000	Hurvig
US	5630129	May	1997	395/675.000	Wheat
US	5668943	Sep	1997	714/007.000	Attanasio
US	5706511		1998	395/621.000	Tomoda
US	5790789	Aug	1998	395/200.320	Suarez
US	5828569	Oct	1998	364/187.000	Fisher
US	5828847	Oct	1998	395/200.690	Gehr
US	5828876	Oct	1998	707/001.000	Fish
US	5832222	Nov	1998	709/216.000	Dziadosz
US	5889520		1999	345/349.000	Glaser
US	5893086	Apr	1999	707/001.000	Schmuck

Art Unit - 271

Primary Examiner - Black, Thomas G.

Assistant Examiner - Mizrahi, Diane D.

25 Claim(s), 50 Drawing Figure(s), 46 Drawing Page(s)

## ABSTRACT

The current invention provides a method for improving throughput to or from a resource by allowing multiple servers to concurrently access the resource without affecting the integrity of the resource. Generally, by allowing one server to handle the administrative management of a resource, while allowing all servers, including the administrative \*\*\*server\*\*\* , to handle the actual passing of data associated with the I/O request, allows for increased bandwidth between clients and the resource. An I/O request to a first server node is converted into an access portion and a data transfer portion. The access portion is passed to a corresponding administrative server node for the resource. Subsequently, the administrative server may issue an access grant to the first server node. In response, the first server completes the data transfer for the resource.

originates from an aware client, control is passed to process 1320. At process 1320, the I/O store and forward buffers are sent back over the network to the client in the case of a read I/O. Control is then passed to decision process 1322, where a determination is made if the server needs to be load balanced based on the stored CFN records 420D-E, illustrated in FIG. 5A. The determination is threshold control, two embodiments are possible. Control can be forwarded to process 1328, which sends a generic request to the client to redirect its I/O. Alternatively, control can be passed to process 1324, where the load balance monitor controls the load. CFN, which can handle the I/O is determined. Control is then forwarded to process 1328, where a request that the client redirect I/O to the selected CFN is communicated to the aware client. Control is then passed to process 1316, where the resources, which were previously frozen in processes 1218 and 1232 of. subroutine 1178 of FIG. 10E. This is where non-read/write I/O operations are handled. Some operations are handled in the standard client/server fashion. Some operations are special or new, such as get/set configuration database process 1352/1354, and come into play during process. . . configuration database is set. Control is then passed to process 1356, where commands to open are managed by the metadata server. Control is then passed to process 1358, where commands to close a file are managed by the metadata

where commands to close a file are managed by the metadata server. Control is then passed to process 1360, where commands to create a file are managed by the metadata server. Control is then passed to process 1362, where commands to delete a file are managed by the metadata server. Control is then passed to process 1364, where commands to flush any cache data of a file to

commit

DETD

it to stable storage or flush it to a disk file are managed by the metadata server. Control is then passed to process 1366, where commands to lock a file are managed by the metadata server. Control is then passed to process 1368, where commands to unlock a tile are managed by the metadata server. Control is then passed to process 1370, where commands to get attributes of a file are managed by the metadata server. Control is then passed to process 1372, where commands to set the attributes of a file are managed by the metadata server. Control is then passed to process 1374, where directory services are managed by the metadata

server. Control is then passed to process 1376, where the subroutine is exited.

DETD FIG. 10I illustrates the process flow of an aware client 102A-B (see FIGS. 1A, 2B), commencing at start block 1400. Control is passed to process 1402, in which the aware client is booted and the modules shown in FIG. 2B are loaded. Control is then passed to process 1404, in which. . . Control is then passed to process 1410, in which the available resources are made available for use by the aware

client (see FIG. 6). Control is then passed to decision process
 1414. In decision process 1414, the command processing module 192 (see
 FIG. 2B) determines if the client is handling an I/O request.
 If the command being processed is an I/O request, then control is
passed

to process. . . FIG. 2B) is responsible for converting the I/O request for a file system into a path specific request to a node/server. The redirector module 184 accesses the resource management module 186 (see FIG. 2B) which, in turn, accesses the name driver module 194 to determine the actual path. The. . .

time-out interval has expired, control is passed to process 1426. In another embodiment of the invention, process 1424 could initiate client load rebalancing when a client detects a delay differential from its normal response time from the server. . . that determination is negative, then control is passed to DETD process 1448. In process 1448, the command is subject to traditional client server processing subsequent to which processing control returns to decision process 1414. If, alternatively, it is determined in decision process 1440,. . . . . . abstract mapping of system resources and paths to those DETD resources is updated to reflect the new, preferred path from the client to the resource(s). Control then returns to decision process 1414 for the processing of the next command. FIG. 11A is a hardware block diagram of a prior art client DETD

```
in terms of a Microsoft Cluster server.
       . . . Cluster, Distributed Database, any Distributed Application):
DETD
       The important aspect of this work group is that the actual
applications,
       and the clients that use them, exist on the computers that
       collectively make up the clustered file system. All I/O generated in
       this. . . non-destructive, secure, law-abiding fashion. STOP-1A
       specifically refers to an I/O carried out by a CFN that is also the
      Metadata Server for the file system in question. STOP-1B
       specifically refers to an I/O carried out by a CFN that is not the
      Metadata Server for the file system. STOP-1B1 is the
       communication from the CFN's Disk Reader to the Metadata Supplier of
the
       CFN that is the Metadata Server. STOP-1B2 is the communication
       from the CFN's Metadata Supplier that is the Metadata Server
       sending the block list to the Disk Reader on the CFN that originated
the
       I/O. STOP-1B3 is the I/O to. .
       STOP Type 2A (1,2): The clustered file system I/O capabilities of a
DETD
       given client can take two forms which we shall define as
       normal clients and enabled-clients. A normal
     client is one, which has no special awareness of the clustered
       file system, and hence has absolutely no additional software installed.
         . namespace of the network, and thereby decides to attach to a
       single Clustered File System Node ((.degree. FN) as the server
       for access to that share. In this case, the clustered file system is
       exposed to the public network as a series of symmetric file system
     server entry-points, each giving the client an
       identical view of the file system. All subsequent I/O from this
     client is carried out by the clustered file system through this
       single CFN. From the normal client's perspective, this all
       occurs in the same manner as traditional client/server
       I/O today. Availability is dealt with in the traditional way, by
       retrying the I/O until successful, or erroring out. An. . . occurs, it may become available at a later time, once restarted. In this
       respect, availability is the same as traditional client/
     server I/O. However, if the I/O recovery errors out, the
     client or application has the option to manually attach to the
       clustered file system through another CFN in order to retry.
       accomplished through the symmetry provided by the clustered file
system.
       This is done manually by distributing a group of normal clients
       among different attach points to the clustered file system, via the
       different CFNs that publish unique attach points in the namespace
       viewable by the normal clients. Distributed applications are
       supported in the traditional manner, save for much higher scaling
       limits, because the clustered file system supports. . . a single
view
       of the file system, no matter where it is viewed from, including the
       range-locking of files. Normal clients, attaching to the
       clustered file system through different CFN points, will see the exact
       same file system and hence the. . . applications to scale by using
       range-locking and/or accessing the same files/file systems to
distribute
       its activities. STOP-2A1 is a normal client-generated I/O
       which occurs on the CFN that is the Metadata Server for the
       file system. STOP-2A2 is a normal client-generated I/O which
       occurs on the CFN that is not the Metadata Server for the file
```

system.

DETD STOP Type 2B (1,2): An enabled-client is one which has special clustered file system-aware software installed. The enabled-client has all the capabilities of a normal client, with some important additions. Clustered file system awareness allows availability, scaling, symmetry, single system image, and load-balancing

to transparently be extended to the public network. The enabledclient now views the exposed clustered file system as a single system image, not a group of symmetric nodes. This is an important abstraction that allows the virtualization of the clustered file

The software on the enabled-client presents this single system image to the operating system and all client applications transact through this virtual interface. File software translates the I/O request to the virtual interface to an actual transaction. . . which, the original I/O is completed successfully back through the virtual interface. Scaling and load-balancing are accomplished automatically as the enabled-client is able to redirect I/O to another cluster node at the request of the clustered file system. Distributed applications function. . . is achieved by allowing any file system I/O to function identically, regardless of which node initiated it. STOP-2B1 is an enabled-client generated I/O which occurs on the CFN that is the Metadata Server for the file system. STOP-2B2 is an enabled client generated I/O which occurs on the CFN that is not the Metadata Server for the file system.

DETD Availability: Availability business can continue when a server or component fails. STOP 1 availability is provided in terms of Metadata

server fail-over and fail-back mechanisms, in order that the I/O
 can be recovered. STOP 2 availability is provided in terms of symmetry
 and virtualization through the single system image, allowing manual and
 transparent client I/O recovery.

DETD . . . partly by using a distributed lock manager. This allows an application to grow beyond the capacity of the largest available server. Multiple, high-speed paths to the data and range-locks, provided by the distributed lock manager, allow distributed applications

to scale. STOP-1. . . .

DETD Symmetry: Metadata **Server** and Hemingway **Client** cache coordinates direct storage subsystem access. STOP-1 and STOP-3 can execute applications on the same storage directly. If those are. . and STOP-4 can utilize distributed applications that execute at the source, or services of such applications that execute on a

server/cluster node in the same way. Everyone sees the same file system and can perform functionally identical I/O from anywhere.

DETD FIGS. 3A-C show the functioning of the **server** node software modules, shown in FIG. 2A, for various implementations of distributed I/O handling shown in FIG. 1B.

DETD FIG. 3A shows the software modules required for the administrative server 104B to handle both the administrative and data transfer functions associated with an I/O request (See FIG. 1B I/O request.

receipt module 142. The I/O request is tagged with the source identifier  $% \left( 1\right) =\left( 1\right) +\left( 1\right) +\left$ 

that

indicating the origin of the I/O request, e.g.  ${\tt client}$  100A (see FIG. 1B), and that request and tag are passed to the command processing module 154. The command processing module 154 determines

the I/O request should be passed to the **server** configuration driver 156. The **server** configuration driver uses information obtained from the configuration database 120A-C (see FIGS. 1B, 5B) to determine which, among the plurality of **servers** 104B-106B (see FIG. 1B), is designated as the administrative **server** for the requested file system. In the example shown in FIG. 3A, the

Boolean-based response messages. Finally, the access control table includes a semaphore field 1352. The presence of a semaphore in the semaphore field indicates that one of clients, 1154 or 1156, has seized control of the access and volume control tables 1206-1208. A client process which has written an identifier, in the semaphore field 1352, can alter the privileges associated with each volume and.

DETD . . . is write enabled. Field 1392B indicates that CD-ROM 1166 (see FIG. 12A) is not write enabled. Field 1394 indicates which client currently has write access to a specific volume. Field 1394A indicates that client 1154 (see FIG. 12A) currently has write access to RAID storage device 1164. Field 1394B indicates that no client has write access to CD-ROM 1166 (see FIG. 12A). Field 1388 indicates which clients have mount access privileges for each specific volume. A Boolean True indicates that the client can mount the volume. A Boolean False indicates the opposite. Field

indicates, for each client, the ability to request a change to its current volume settings. A Boolean False indicates that a client is not locked out from making change requests, such as read-only to read-write (or vice versa). A Boolean True indicates that

client is locked out from making change requests. Field 1384 is
 a Boolean True/False, indicating if a client, with read only
 privileges, will be updated when changes, to a specific volume, are

to the volume by other clients. Field 1386 is a time stamp, indicating the last time at which a client received an updated copy of a file directory 1162 (See FIG. 12A). Field 1382 is a time stamp, indicating the last modification time for a specific volume by any client. By comparing the last modification time field 1386 to the volume modification time field 1382, the processes 1214-1216

(see FIG. 12A) can determine when a **client** with auto update privileges is in need of a file directory refresh.

DETD Volume.sub.-- Check.sub.-- Timer 14xx

DETD This variable is a **timer** that, when expired, indicates that it is time to check the volume to see if it needs to be refreshed.

DETD SB.sub.-- Process.sub.-- Timer 14xx

DETD A timer, that when expired, indicates that it is time to check the next file system.

DETD Monitor.sub.-- DB.sub.-- Timer 14xx

DETD A timer that, when expired, indicates that it is time to check for any pending table requests.

DETD F

a

made

server processing the request is also the administrative
server for the requested file system. Control passes from the
server configuration driver to the shared data lock management
 module 144. This module is called by the command processing module to.
 . load balanced driver 168. This module gathers and periodically
 reports load balancing utilization statistics, which statistics can be
 utilized for client load balancing (see FIG. 1A). Control is
 then passed to the I/O store and forward module 166. The I/O store.

DETD FIGS. 3B-C show the complementary relationships associated with distributed I/O between an administrative server and a data transfer server, in accordance with the embodiments shown in FIG. 1B. FIG. 31B shows the software modules associated with the handling of an I/O request by the data transfer server 106B, while FIG. 3C shows the software modules associated with handling the administrative portions of the I/O request, initially received by data transfer server 106B, and handled administratively by administrative server 104B.

DETD . . . 154. On the basis of the source and type of request, the command processing module passes the request to the server config driver which determines it is not the administrative server for the resource I/O request. Command processing module 154 then calls disk reader module 150. The disk reader module 150 determines the administrative server for the volume on which the requested file system resides. Control is then passed to the

command

receipt module 142 which sends to the administrative **server** the I/O request. If the I/O is read or write, then the logical I/O is passed to the administrative **server** for translation to physical sectors on the resource to which the read or write I/O request should be directed. The. . . which accumulates utilization

statistics

based on I/O requests, and which periodically reports these. These statistics are useful when implementing the **client** load balancing embodiments and resource rebalancing embodiments of the invention, described and discussed above in connection with FIGS. 1A-C. Control. . .

DETD FIG. 3C shows the software modules associated with the handling by an administrative server 104B of a distributed I/O request passed from a data transfer server 106B (see FIGS. 1B, 3B).

Processing begins with the receipt of an I/O request. If it is a read or. . . into storage device ID(s) and physical sector list for the distributed I/O request which is received from the data transfer server by command receipt module 142. The request is tagged with source information by the command receipt module and passed to. . . The command processing module determines, on the basis of I/O type and source, that the request is passed to the server configuration driver 156. The server configuration driver 156 obtains a copy

then

passed to. . . of a block list, is then passed by the command processing module 154 over the network to the data transfer server 106B.

of the current configuration database 120 (see FIG. 1B.) Control is

DETD  $\,$  FIGS. 4A-D show the software modules associated with the handling of I/O

by an aware **client**, the handling of a fail-over and fail-back by an aware **client**, and the passive and active management of load rebalancing by a **client**.

DETD . . . which of the software modules, described and discussed above in

FIG. 2B, are involved in the processing by an aware **client** of an I/O request Processing begins with an I/O request generated by application modules 196. That request is passed to the command processing module 192. The command processing module determines whether the requested I/O is destined for a **client** controlled resource

```
or an externally controlled resource. For externally controlled
       resources, the command processing module 192 calls the resource
     management module 186. This module is responsible for managing
       the information about distinct resources available on the network and
       the connection. . . abstract mapping of network namespace resources
       and combines all available paths for each volume through the plurality
       of nodes, e.g. servers (see FIG. 6). The current path for the
       resource is returned to resource management 186. For
       external I/O requests, the I/O is sent to the appropriate destination
by
       the redirector module 184. This module handles communications between
       the aware client and the network. Data passing to or from the
     client, in response the I/O request, is passed between the
       network and the application modules 196 via the redirector module 184.
         . . the software modules, described and discussed above in
       connection with FIG. 2B, is associated with the processing by an aware
     client of a fail-over or fail-back on the network. Fail-over
       refers to the response, by aware clients seeking access to a
       resource, to the failure of a node, e.g. server, designated in
       the name driver module 194 for accessing that resource. Fail-back deals
       with the behavior of an aware client in response to a recovery
       of a node, e.g. server, on the network from a failed
       condition. The operation begins, in a manner similar to that described
       and discussed above. . . for all external resource, the path to the
       resource needs to be determined. The request is therefore passed to the
     resource management module 186 and to the name driver
       module 194 to obtain the path. The command processing module 192 passes
       FIGS. 4C-D show the software modules on the aware client
DETD
       associated with what are defined as passive and active embodiments of
     client load rebalancing, introduced above in FIG. 1A. FIG. 4C
       discloses a software module associated with passive client
       load balancing, while FIG. 4D shows the software modules associated
```

with

```
Several embodiments of the load rebalancing embodiment just discussed
       will be set forth in.
       . . . and variations thereof can be practiced individually, or in
DETD
       combination, without departing from the teachings of this invention.
For
       example, client load rebalancing and distributed I/O can be
       combined. Client load rebalancing and resource rebalancing can
       be combined. Distributed I/O and resource rebalancing can be combined.
     Client load rebalancing, distributed I/O, and resource
       rebalancing can be combined.
       FIG. 2A shows the software modules present on server 104 for
DETD
       enabling client load balancing, distributed I/O, and resource
       rebalancing embodiments of the current invention. FIG. 2A shows
     server 104 and memory resource 118. Server 104
       includes a logical I/O unit 130 and a physical I/O unit 132. The
      I/O unit includes an internal. . . module 148, a command processing module 154, a disk reader module 150, a shared data metadata management
       module 152, a server configuration driver 156, a
     resource management module 158, a logical name driver
       module 160, and a metadata supplier module 162. The physical I/O unit
       132 includes.
       . . . 140, the command receipt module 142, the shared data lock
DETD
       management module 144, the configuration database replicator module
148,
       the resource management module 158, the
     server configuration driver 156, the shared data metadata
       management module 152, the metadata supplier module 162, the disk
reader
       module 150, and I/O store and forward 166. The resource
     management module 158 is connected to the resource publisher 146
       and to the logical name driver module 160. The metadata supplier.
       . . . are received and queued up, either from internal I/O module
DETD
       140, from the private network 112 (from a data transfer server
       ), or from a normal or aware client on network 108. The I/O is
       thus tagged with the source type for future decision making.
       . . resources on this node. It is the module that actually
DETD
       interacts with the network in order for normal and aware clients
       to figure out which resources are available on this node. The resource
       publisher 146 interacts with the resource management
       module 158 and logical name driver module 160 to obtain the actual
       information that should be published in the network.
       . . namespace, and provides a path for the logical name driver
DETD
       module 160 to communicate through command processing module 154, and
     server configuration driver 156, to build said namespace mapping
       information.
       . . list of the other modules it dispatches commands to include
DETD
       shared data lock manager 144, configuration database replicator module
       148, server configuration driver 156, resource
     management module 158, shared-data metadata management module
       152, and disk reader module 150.
            . of the configuration database 120 (see FIGS. 5A-D) stored in
DETD
       node memory to other nodes as a result of the server
       configuration driver 156 calling it. It is called when a node first
       appears on the network. during a fail-over, after. . . node failure,
       or when a node fails back. It guarantees that every online node has an
       identical copy of the server configuration database. These
       tables reflect the current state of the servers/clustered file
```

122B1-B3, while server 104C handles only file systems 122A2-3.

```
system nodes (CFNs) as a whole and specifically the individual state of each node for which the file system is the administrative server.

SERVER CONFIGURATION DRIVER 156: This module is responsible for managing the server configuration database 120 (see FIGS.
```

SERVER CONFIGURATION DRIVER 156: This module is responsible for managing the server configuration database 120 (see FIGS. 5A-D), responding to requests from a node to get a copy of the current server configuration database (FIG. 10H process 1352), sending a command to set the configuration database (FIG. 10H process 1354), rebalancing the. . . a node coming up on the network, first time up or during fail-back and fail-over, and determining who the administrative server for a volume is, in response to an I/O by examining the server configuration database (see FIG. 10B). Command processing module 154 calls server configuration driver 156 to determine whether this CFN is the administrative server for the I/O in question.

DETD . . . (FIG. 10H process 1366, 1368). This module also cooperates in the caching and opportunistic locking mechanisms to efficiently cache administrative server block lists and break locks requiring cached file buffers to be committed (FIG. 10H step 1364) to stable storage (see. . .

. . . module is called by command processing module 154, in the case DETD where an I/O operation is requested, in which the server configuration driver 156 has indicated that this node is not the administrative server for the file I/O operation in question. The disk reader module 150 determines the administrative server for the I/O from the  ${\it server}$  configuration driver 156 and sends the I/O request onto the administrative server with a source type request message for translation into a physical I/O block list. Upon failure of the administrative server, the disk reader module 150 instructs the server configuration database to be rebalanced by calling the server configuration driver 156. Upon success, the physical I/O translation table is returned from the administrative server's metadata supplier module 162, at which time the disk reader module 150 forwards the physical I/O onto scheduling module 164. .

DETD . . . 1B1, during processing in command receipt module 142. This type

of I/O operation is a request received by the administrative server's metadata supplier module 162 from a data transfer server's disk reader module 150. The metadata supplier module 162 translates the logical I/O operation into a physical I/O block

 ${\tt DETD}$  . . . places, depending on the embodiment, including, but not limited

list.

the

to, a usage record in the cluster configuration database, a file server, or a load-balance monitor. Further, after each I/O operation, it determines if the current I/O utilization has exceeded

configured. . . load-balance utilization threshold. If so, it conducts a determination depending on the embodiment that results in a message to an aware-client to either redirect I/O for a particular resource to a specific node (See FIGS. 7A-B), or to redirect I/O to. . .

DETD . . . simply gets/delivers the data from/to the memory buffers associated with the internal I/O. In the case of I/O originating from clients, temporary memory resources are associated with the I/O, and data is gotten/delivered there. Furthermore, client generated I/O requires the I/O store and forward module 166 to retrieve data from the client network, and send data to the

client network, depending on whether the operation is write or read, respectively. After the client data is transferred, the temporary memory resources are freed to be used at another time.

DETD FIG. 2B shows software modules associated with an aware client 102A-B which interfaces with the network 108 (see FIG. 1A). The aware

client software modules may reside on a server, which implements client processes, or a stand-alone unit as shown in FIG. 1A. The aware client includes a resource subscriber module 182, a redirector module 184, a resource management module 186, a fail-over module 188, a load-balancer module 190, a command processing module 192, a name driver module 194,. . . network 108 (see FIG. 1A). The redirector module 184 and the DETD resource subscriber 182 are both connected individually to the resource management module 186. The redirector module is also connected to the fail-over module 188 and to the application modules 196. The. . . name driver module 194 and to the command processing module 192. The command processing module 192 is connected to the resource management module 186, load-balancer module 190, and to the application modules 196. The name driver module 194 is also connected to the resource management module 186. . . 182: This module is responsible for retrieving from the DETD network the namespace describing the resources available for use by the clients on the network. It interacts with resource management 186 to respond to a request for retrieval, and to redeliver the resource information. APPLICATION MODULES 196: This module refers to any application DETD (process) running on the aware-client that generates I/O operations. It calls command processing module 192 to carry out the given I/O operation. destined for an internally controlled resource or externally DETD . . . controlled resource. If it is not a well-known, internally-controlled resource, it calls resource management module 186

L18 ANSWER 1 OF 1 USPATFULL United States Patent

Patent Number: Date of Patent:

mber: 5157667 atent: 20 Oct 1992

Methods and apparatus for performing fault isolation and failure analysis in link-connected systems

Inventor(s): Carusone, Jr., Anthony, Tucson, AZ, United States

Garrigan, Albert W., Wapppingers Falls, NY, United States

Hunsinger, Wayne, Endwell, NY, United States Moffitt, Gerald T., San Jose, CA, United States Spencer, David E., Lagrangeville, NY, United States Taylor, Jordan M., Poughkeepsie, NY, United States

Assignee: International Business Machines Corporation, Armonk, NY, United

States (U.S. corporation)

Appl. No.: 90-516387 Filed: 30 Apr 1990

Int. Cl. ..... G06F011-00

Issue U.S. Cl. ...... 371/029.100; 371/016.500; 340/825.100; 455/008.000 Current U.S. Cl. ..... 714/045.000; 340/825.100; 455/008.000; 714/003.000

Field of Search ..... 371/29.1; 371/16.5; 340/825.1; 370/16; 455/8

#### Reference Cited

# PATENT DOCUMENTS

	Patent Number	Dat	.e	Class	Inventor
US	3707714	Dec	1972	371/029.100	Plumley
US	4108360	Aug	1978	371/016.500	Beismann et al.
US	4207609	Jun	1980	364/200.000	Luiz et al.
US	4396984	Aug	1983	364/200.000	Videki
US	4438494	Mar	1984	371/029.100	Budde et al.
US	4455605		1984	364/200.000	Cormier et al.
US	4514846	Apr	1985	371/029.100	Federico
US	4554661	Nov	1985	371/029.100	Bannister
US	4633467	Dec	1986	371/016.500	Abel et al.
US	4644532		1987	370/094.000	George et al.
US	4745593	May	1988	371/033.000	Stewart
US	4791653	Dec	1988	375/116.000	McFarland et al.
US	4881230	Nov	1989	370/016.000	Clark et al.
US	4884263	Nov	1989	370/016.000	Suzuki
US	4962497	Oct	1990	370/060.100	Ferenc et al.

Art Unit - 233

Primary Examiner - Beausoliel, Robert W.

Assistant Examiner - Hua, Ly ...

Attorney, Agent or Firm - Scheer, Michael J.; Kinnaman, William A.

27 Claim(s), 4 Drawing Figure(s), 3 Drawing Page(s)

ABSTRACT

\_\_\_\_\_\_

The invention relates to methods and apparatus for isolating faults in

link-connected systems utilizing fault reports generated from within the

itself. The reports are transmitted to a central location, preferably during a predetermined time period, and are used to create a single error message identifying the probable nature and location of the fault. A preferred embodiment of the invention does not require either the construction or maintenance of systemwide configuration tables, commonly used performing fault location and analysis. Instead, each unit of a pair of link coupled units, initially or on reconnection, interrogates a link adapter at the other end of the link for an identifier that identifies both the remote unit and the remote link adapter. This "nearest neighbor" information is stored locally at each unit, and is transmitted to the central location when an error is detected.

nearest neighbor information, rather than information from a configuration table, may be used to combine multiple records relating to a fault event, locate the fault and diagnose its cause. Additionally, a preferred embodiment of the invention provides a plurality of reporting paths for each unit in the system, so that the failure of a given unit or link does not prevent error information from being communicated to the central location.

- SUMM . . . analyzing faults in link-connected systems such as, for example, data processing systems arranged as a distributed network of host processors, switches and control units coupled by a plurality of communication links. More particularly, the invention relates to methods and apparatus for. . .
- SUMM . . . Pat. No. 4,633,467 require configuration information to be maintained and retrieved in order to implicitly determine which units are in active communication paths. These units then become the candidates for the fault location.
- SUMM According to the invention described in the U.S. Pat. No. 4,745,593, a test packet is sent through the nodes of a network and a timeout scheme is used to check for an anticipated response....
- SUMM . . . the system described in copending patent application Ser. No. 07/429,267, filed Oct. 30, 1989. Application Ser. No. 07/429,267 describes a switch and its protocols for making connections between one input/output channel (of a CPU) and either another input/output channel or a. . .
- SUMM The system described in the incorporated copending application uses switch units installed between the CPUs and the CUs to allow connectivity from a single CPU network connection to multiple CUs,. .
- SUMM When a failure occurs on a link, symptoms occur at both ends of that link and may propagate through the **switch** units and appear at both ends of multiple links. The symptoms of a failure thus appear on both ends of. . .
- SUMM Each switch and most CUs have multiple link attachments with paths to CPUs so that when a single path or link fails,. .
- SUMM . . . the central point are from a single incident. A knowledge of the configuration of all of the CPUs, CUs and switches could, as indicated hereinbefore, be kept in a table, but there are difficulties in constructing such a table and dynamically.
- SUMM . . . reports may not be enough information to isolate a fault. For example, it would be desirable to be able to identify a unit that failed and is itself unable to issue an error report.
- SUMM According to a preferred embodiment of the invention, each switch, CPU and CU in the network (like the network described in the incorporated copending patent application) has an identifier which.
- SUMM Whenever a **switch**, CPU, or CU attached to the CPU/CU interface network is connected to a neighboring unit, it exchanges LAIDs with the.
- SUMM In situations where the failure has been propagated through a switch, two links become involved. In this case the two pairs of

```
failure reports one pair for each link, are known to be from the same
       failure since y have the unit identifier of switch in common and occur in close time proximity to each other. The method and
       apparatus contemplated by the invention combine. .
       . . . occur from the other ends of the links attached to those
SUMM
       connections. Each of these multiple reports will contain the
     failing unit identifier. According to the
       invention, these reports are combined, and since the multiple failure
       reports indicate a single attached unit, the. . .
       Furthermore, according to the preferred embodiment of the invention,
SUMM
       whenever a switch or control unit attached to the CPU/CU
       network detects a failure at one of its link attachments to that
       network,. .
       . . . in particular a computer system having a plurality of channels
DRWD
       connected to a plurality of control units, through a dynamic
     switch, via a plurality of links.
       . . 1, except that three processors, each having an associated
DRWD
       service processor, are shown coupled to four control units via two
     switches. A set of link attachments (adapters) for these units
       are depicted along with their corresponding unique link adapter IDs
       (LAID. . .
       The I/O subsystem depicted in FIG. 1 includes a dynamic switch
DETD
       10 having a plurality of ports P, each port P connected to one end of a
       plurality of links 12-18. One of the links, 18, is connected to a
       dynamic switch control unit 20, and each of the other links
       1\overline{2}-17 is connected to either a channel, such as channel A. .
       . . . a point-to-point pair of conductors that may physically
DETD
       interconnect a control unit and a channel, a channel and a dynamic
     switch (such as links 12 and 13), a control unit and a dynamic
     switch (such as links 14-17), or , in some cases, a dynamic
     switch and another dynamic switch.
       . . be attached to the I/O interface of that channel or control
DETD
       unit. When a link is attached to a dynamic switch, it is said
       to be attached to a port P on that dynamic switch. When the
       dynamic switch makes a connection between two dynamic-
     switch ports, the link attached to one port is considered
       physically connected to the link attached to the other port, and.
       The dynamic switch 10 provides the capability to physically
DETD
       interconnect any two links that are attached to it. The link attachment
       point on the dynamic switch 10 is the dynamic-switch
       port P. Only two dynamic-switch ports P may be interconnected
       in a single connection, but multiple physical connections may exist
       simultaneously within the same dynamic switch. The dynamic
     switch 10 may be constructed as disclosed in U.S. Pat. Nos.
       4,605,928; 4,630,045; and 4,635,250 (the "switch" patents),
       incorporated into the referenced copending patent application.
       When a connection is established, two dynamic switch ports and
DETD
       their respective point-to-point links are interconnected by a
     switch matrix within the dynamic switch 10, as
       explained in the aforementioned switch patents, such that the
       two links are treated and appear as one continuous link for the
duration
       of the connection. When transmitted frames of information are received
       by one of two connected switch ports P, the frames are
       normally passed from one port to the other for transmission on the
other
       port's link.
       Communications using the switch depicted in FIG. 1 are
DETD
       governed by two hierarchical levels of functions and serial-I/O
       protocols, the link level and the. . . determine the structure,
size,
       and integrity of the frame. Link protocols also provide for making the
```

connection through the dynamic switch 10 and for other control

control unit contains a. .

functions which are unrelated to this invention. Each channel and each

```
. . of a link address to a link-level facility occurs when the
DETD
       link-level factory ty performs initialization. Every frame sent through the switch contains link-level addressing which identifies the
       source and destination of the frame. Specifically, this addressing
       information consists of the link addresses of the sending link-level
       facility (source link address) and receiving link-level facility
       (destination link address). The switch uses this addressing
       information in order to make a connection from the port receiving the
       frame to the correct port. .
       . . three processors (212, 214 and 216) are shown coupled to four
DETD
       control units (232, 234, 236 and 238), via two switches (222
       and 224), and a set of link attachments (adapters) for these units,
       along with their corresponding unique link adapter.
       Thus, continuing with the illustrative example involving switch
DETD
       unit 222 interconnected with CU 236, LAID pair 222-6 and 236-1 is
stored
       at each end of link 256 (i.e.,.
         . . the LAID pair 222-6 and 236-1 being somehow transmitted to a
DETD
       central location (such as service processor 272) from both
     switch unit 222 and CU 236. Clearly, the LAID pair from
     switch unit 222 can be communicated over presumably sound links;
       however, the LAID pair from CU 236 will need to be. . .
       In situations where the failure has been propagated through a
     switch, two links become involved. Thus, considering a different
       example, if the failure exists on the path from host processor 214.
          pair for each link, are presumed to be from the same failure since
       they have the unit identifier of the switch (switch
       224) in common and occur in close time proximity to each other. The
       method and apparatus contemplated by the invention. . .
       In other situations where, considering yet another example, a unit
DETD
       failure occurs (e.g., switch 222), multiple link adapters on
       the unit will fail and multiple reports will occur from the other ends
       of the links attached to those connections (from all the units attached
       to switch 222 for this example). Each of these multiple
       reports will contain the failing unit
     identifier. According to the invention, these reports may be
       combined at the central location (after being reported to the location)
       Furthermore, according to the preferred embodiment of the invention,
DETD
       whenever a switch or control unit attached to the CPU/CU
       network detects a failure at one of its link attachments to that
       network,.
       . . the entry under CPU 212. Also shown as part of entry 501 is
DETD
the
       substance of a report received from switch 222. The report
       from switch 222 also indicated LOL and the nearest neighbor
       information transmitted was LAID pair 222-1, 212-1. These two reports
       had matching.
         . . table was constructed so that the two LOL symptoms result in
DETD
an
       analysis that cable 240 (interconnecting CPU 212 and switch
       222) is faulty, since experience dictates that whenever interconnected
       units each observe LOL, the interconnecting medium is faulty.
       Entry 502 could have similarly been constructed using the nearest
DETD
       neighbor information provided by CPU 212 and switch 222. In
       this case however, the NOS observed by CPU 212 and the LOL observed by
     switch 222 would result in an experience-based diagnosis that
       the driver associated with port 1 of CPU 212 is faulty or that the
       receiver associated with port 1 of switch 222 is faulty.
             . expected to report; the depicted state table could be utilized
DETD
       in conjunction with a configuration table rather than with nearest
     neighbor information; table entries could be created
       for a variable number of alternative reporting paths depending on the
```

amount of redundancy one wishes. .

L56 ANSWER-1-OF-2-USPATFULL . . . Management Information Base (MIB). There are some standard MIB's, such as the IETF (Internet Engineering Task Force) MIB I and SUMM MIB II standard definitions. Through the reading and writing of MIB variables, software in other computers can manage or control the component.. . . . (step 418). To perform this check, diagnostic algorithm 400 DÉTD invokes sink node analyzer algorithm 470 for Node A. If a problem is identified, the Network Monitor reports that there is a medium probability that node A is causing a TCP problem when operating as a. For diagnosing network segment problems, the aboveidentified parameters are also useful with the addition of the alignment rate and the collision rate at the DLL. All or. . L56 ANSWER 2 OF 2 USPATFULL . . . of the system 60 with a minimal number of measurements or DETD through a minimal number of measurement routes. The measurement system 70 also identifies or isolates any problem module or modules in the system 60 by analyzing the dependencies between the modules and the measurements. . . the network interfaces of the various host machines of the ISS DETD 60. These measurements can be made by querying the MIB-II agents that are supported by most host machines. To facilitate more careful planning, the traffic supported by each of the. => d 1-2 fpL56 ANSWER 1 OF 2 USPATFULL Patent Number: United States Patent . 6115393 Date of Patent: 5 Sep 2000 Network monitoring Engel, Ferdinand, Northborough, MA, United States Inventor(s): Jones, Kendall S., Newton Center, MA, United States Robertson, Kary, Bedford, MA, United States Thompson, David M., Redmond, WA, United States White, Gerard, Tyngsborough, MA, United States Concord Communications, Inc., Marlboro, MA, United States (U.S. Assignee: corporation) 95-505083 Appl. No.: Filed: 21 Jul 1995 Related U.S. Application Data Division of Ser. No. US 1991-761269, filed on 17 Sep 1991, now abandoned which is a continuation-in-part of Ser. No. US 1991-684695, filed on 12 Apr 1991, now abandoned Int. Cl. ...... H04J003-16; H04J003-22 Issue U.S. Cl. ...... 370/469.000 Current U.S. Cl. ..... 370/469.000 Field of Search ..... 370/94.1; 370/85.13; 370/85.14; 370/94.2; 370/110.1;

#### Reference Cited

## PATENT DOCUMENTS

	Patent Number	Date		Class		Inventor
US	4648061	Mar 19	87 3	40/825.0	60	Foster
	4817080	Mar 19				Soha
US	4887260	Dec 19	89			Carden et al.
US	4930159	May 19	90			Kravitz et al.
US	5021949	Jun 199		64/200.0	00	Morten et al.
US	5025491	Jun 199	91			Tsuchiya et al.
US	5038345	Aug 199	91 3	40/825.1	50	Roth
US	5060228	Oct 19:	91 3	70/085.1	30	Tsutsui et al.
US	5097469	Mar 19	92			Douglas
US	5101402	Mar 199	92			Chiu et al.
US	5136580	Aug 199	92 3'	70/085.1	30	Videlock et al.
US	5142528	Aug 199	92 3	70/079.0	00	Kobayashi et al.
US	5142622	Aug 19	92 3	95/200.0	00	Owens
US	5150464	Sep 19:	92 3	95/200.0	00	Sidhu et al.
US	5347524	Sep 19	94 3	71/020.1	00	I'Anson et al.
WO	8806822	Sep 19	88			

#### OTHER PUBLICATIONS

Hewlett-Packard brochure regarding local area network protocol analyzer (HP 4972A), Jun. 1987.

- F. Kaplan et al., "Application of Expert Systems to Transmission Maintenance", IEEE, 1986, pp. 449-453.
- D.M. Chiu et al., "Studying the User and Application Behaviour of a Large Network", Jun. 30, 1988, pp. 1-23.
- R. Sudama et al., "The Design of a Realtime DECnet Performance Monitor", Jul. 15, 1988, pp. 1-23.
- B.L. Hitson, "Knowledge-Based Monitoring and Control: An Approach to Understanding the Behavior of TCP/IP Network Protocols", ACM, 1988, pp. 210-221.
- A.T. Dahbura et al., "Formal Methods for Generating Protocol Conformance Test Sequences", Proceedings of the IEEE, vol. 78, No. 8, Aug. 1990, pp. 1317-1326.

Hewlett-Packard Datasheet brochure, "Analyzing TCP/IP Networks with the HP 4972A", Sep. 1989, pp. 1-8.

Art Unit - 278

Primary Examiner - Patel, Ajit

Attorney, Agent or Firm - Fish & Richardson P.C.

25 Claim(s), 48 Drawing Figure(s), 38 Drawing Page(s)

#### ABSTRACT

\_\_\_\_\_\_

Monitoring is done of communications which occur in a network of nodes, each communication being effected by a transmission of one or more packets among two

Method of reporting errors by a hardware element of a distributed computer system

Inventor(s): Desnoyers, Christine Marie, Pine Bush, NY, United States

Garmire, Derrick LeRoy, Kingston, NY, United States

Herrmann, Antoinette Elaine, Poughkeepsie, NY, United States

Kampf, Francis Alfred, Fairfax, VT, United States

Stucke, Robert Frederick, Saugerties, NY, United States

International Business Machines Corporation, Armonk, NY, United States (U.S. corporation)

Appl. No.: 97-831255 Filed: 8 Apr 1997

Assignee:

Int. Cl. ..... G06F011-00

Issue U.S. Cl. ...... 395/185.010; 395/182.020; 395/185.100 Current U.S. Cl. ..... 714/048.000; 714/004.000; 714/057.000 Field of Search ..... 395/185.01; 395/182.02; 395/183.14; 395/184.01;

395/183.21; 395/183.19; 395/185.09; 395/185.1

## Reference Cited

#### PATENT DOCUMENTS

	Patent Number	Date	Class	Inventor
US	3242058	Mar 1966	202/176.000	Ganley et al.
US	4503535		395/184.010	Budde et al.
US	4510594	Apr 1985	370/015.000	Johnson, Jr.
US	4546467		370/013.000	Yamamoto
US	4660201		371/061.000	Nakamura
US	4769811	Sep 1988	370/060.000	Eckberg, Jr. et al.
US	4777595	Oct 1988	395/200.660	Strecket et al.
US	4862461		371/033.000	Blaner
US	4970714	Nov 1990	370/017.000	Chen et al.
US	4993015		370/016.000	Fite, Jr.
US	5016243	May 1991	370/016.000	Fite, Jr.
US	5031211		379/221.000	Nagai et al.
US	5117352	May 1992	395/575.000	Falek
US	5155842		395/575.000	Rubin
US	5157667		395/183.210	Carusone, Jr. et al. <
US	5161156		371/007.000	Baum et al.
US	5218712		395/800.000	Cutler et al.
US	5271000		370/013.000	Engbersen et al.
US	5274638	Dec 1993	370/085.600	Michihira et al.
US	5289460		370/017.000	Drake, Jr. et al.
US	5301185		370/016.100	Cherry
US	5307354	- <u>-</u> -	395/182.020	Cramer et al.
US	5487061		370/013.000	Bray
US	5546391	Aug 1996	370/060.000	
US	5613069	Mar 1997	395/200.150	Walker
ΕP	730355	Apr 1996	H04L001-00	

# OTHER PUBLICATIONS

"Generic Alerts for X.25 Packet Layer Control Level Errors," IBM Technical Disclosure Bulletin, vol. 32, No. 9B, pp. 196-198 (Feb. 1990).

"Generic Alerts for X 25 Link Access Protocol Balanced and X.25 Physical Level Errors," IBM Technica Disclosure Bulletin, vol. 32, 1998, pp. 189-191 (Feb. 1990).

Derrick Garmire, "IBM Powerparallel Technology Briefing: Interconnection Technologies for High-Performance Computing (RS/6000 SP)," (Jun. 6, 1996).

Derrick Garmire, "The RS/6000 SP High-Performance Communication Network," (Jun. 6, 1996).

Art Unit - 275

Primary Examiner - Hua, Ly V.

Attorney, Agent or Firm - Gonzales, Esq., Floyd A.Heslin & Rothberg, P.C.

6 Claim(s), 7 Drawing Figure(s), 7 Drawing Page(s)

#### ABSTRACT

An error message is generated by a hardware element of a distributed computer system, when an error is detected. The error message is then forwarded from the

hardware element to one or more designated processing nodes of the distributed computer system. The hardware element includes, for instance, a switch element or a communications adapter adapted to report detected errors.

REP SUMM US 5157667 Oct 1992 395/183.210 Carusone, Jr. et al.<-. . . the task of monitoring for device failures within the computer system. For example, a heartbeat type protocol is used to periodically poll each of the devices in the system to determine if it is still active. If a once active device is. . .